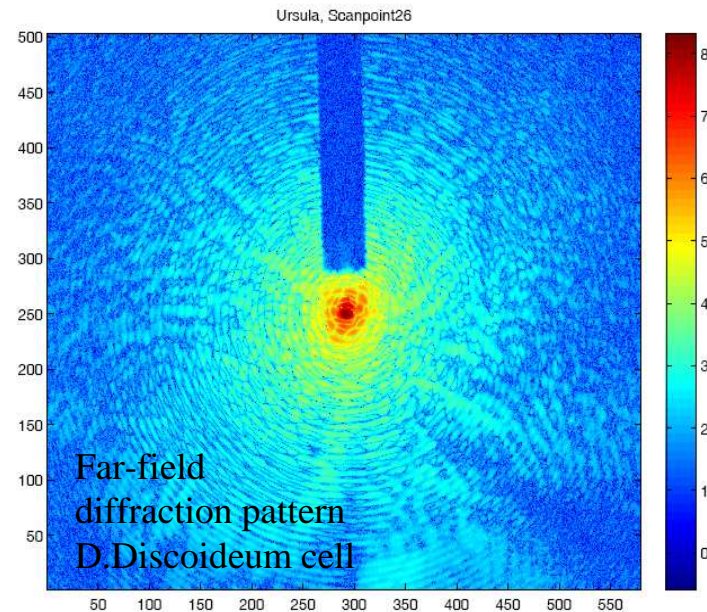
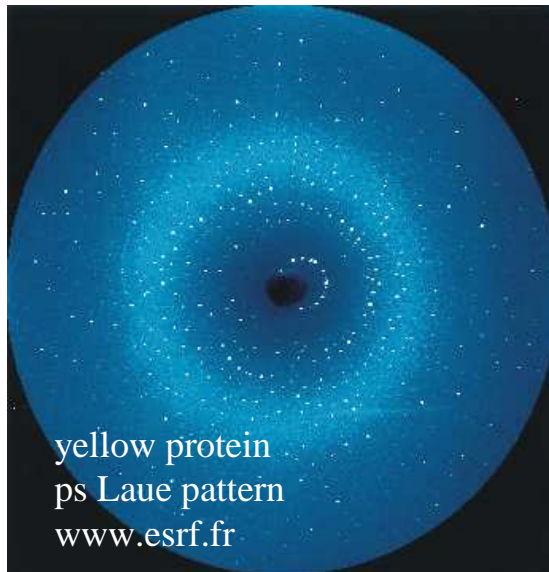


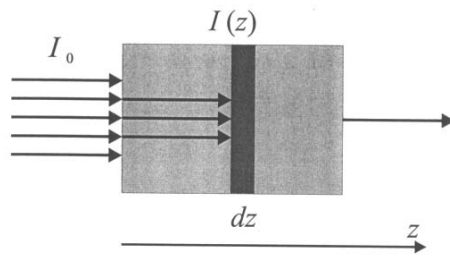
# Coherent imaging by near and far-field diffraction



- 1. Why x-ray imaging ?**
- 2. The phase problem**
- 3. How to: the far-field (CDI)**
- 4. How to: the near-field  
(propagation imaging)**
- 5. From synchrotron to FEL**

Tim Salditt, Institut für Röntgenphysik  
Universität Göttingen, UXSS 2011

## Imaging with x-rays- advantage No.1: transparency



$$I(z) = I_0 e^{-\mu z}$$



absorption coefficient  $\mu \sim E^{-3} Z^{-4}$   
*look into biomaterials*  
(bulk information)

## X-ray advantage No.2:

### (a) quantitative contrast and weak scattering cross section

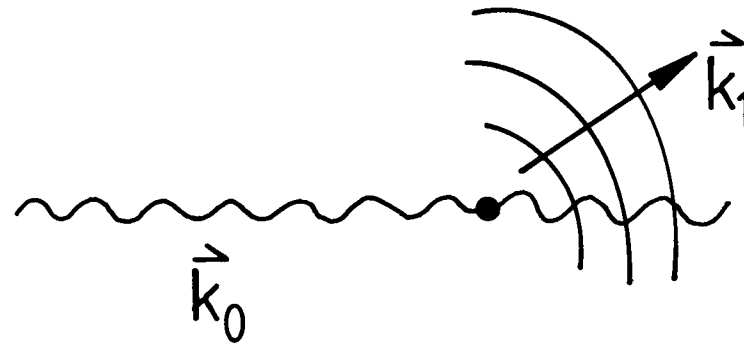


index  $n = 1 - \delta + i \beta$  ,  $\delta, \beta \approx 10^{-5}-10^{-6}$   
close to 1.

⇒ reduced reflections at internal interfaces

*no multiple scattering*

(look through foam of beer, R.W. Pohl 1939)

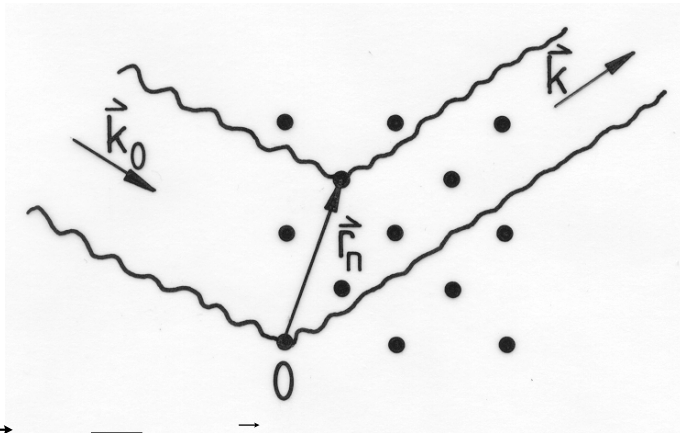


$$e^{i\vec{k}_0 \cdot \vec{r}} \longrightarrow e^{i\vec{k}_0 \cdot \vec{r}} + f(\Omega) \frac{e^{i\vec{k}_0 \cdot \vec{r}}}{r}$$

$f(\Omega)$  amplitude,  $[f(\Omega)] = m$  „scattering length“  
 $f = 2.82 \cdot 10^{-15} \text{ m}$

(Born Approx.)

# advantage No.3: small wavelength – high resolution

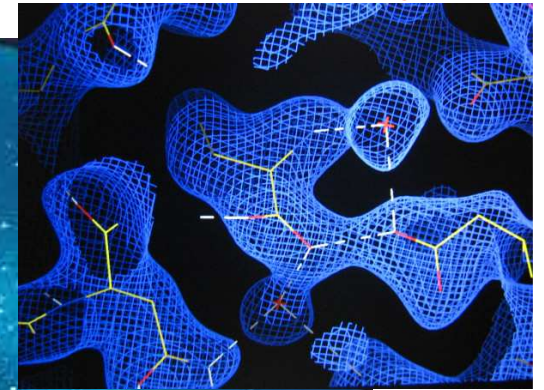
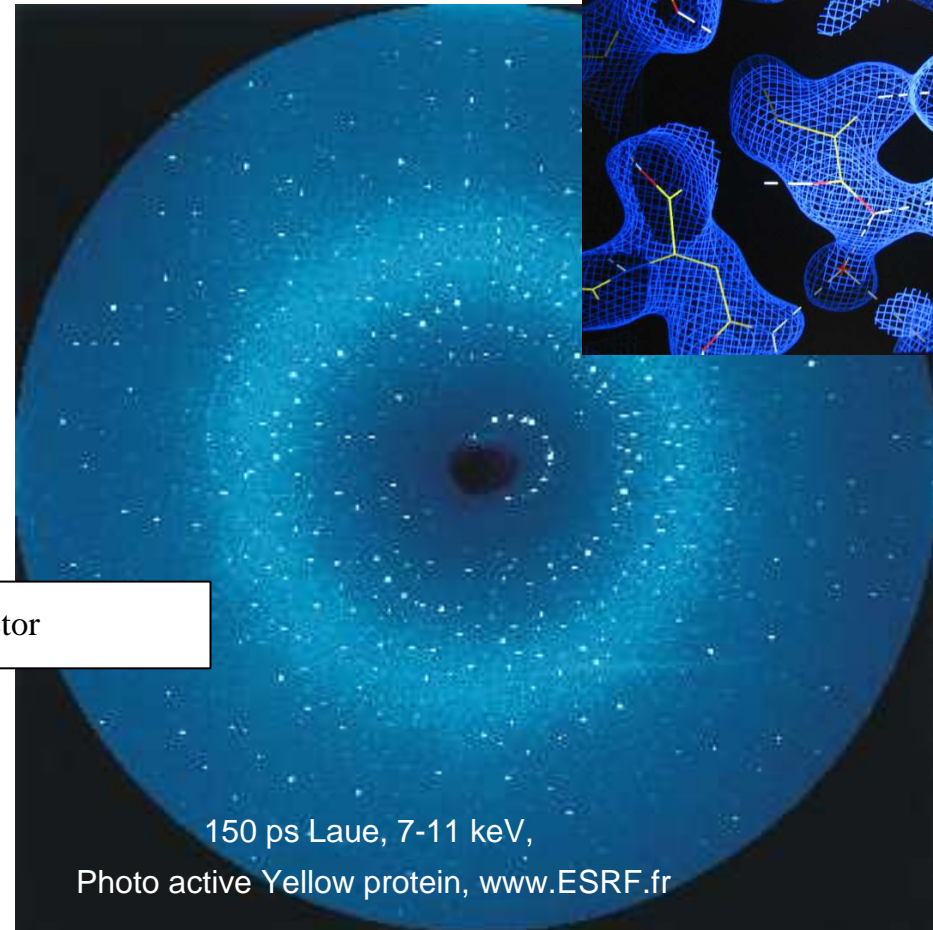
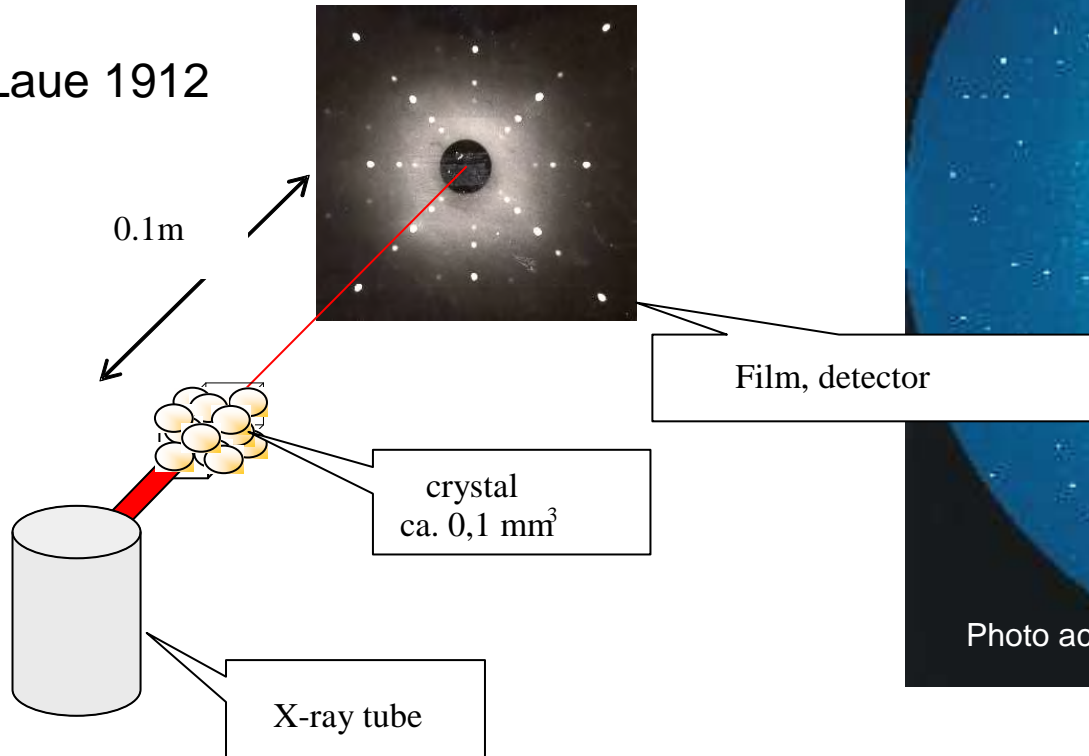


$$S(\vec{Q}) = \sum_n f_n e^{i\vec{Q} \cdot \vec{r}_n}$$

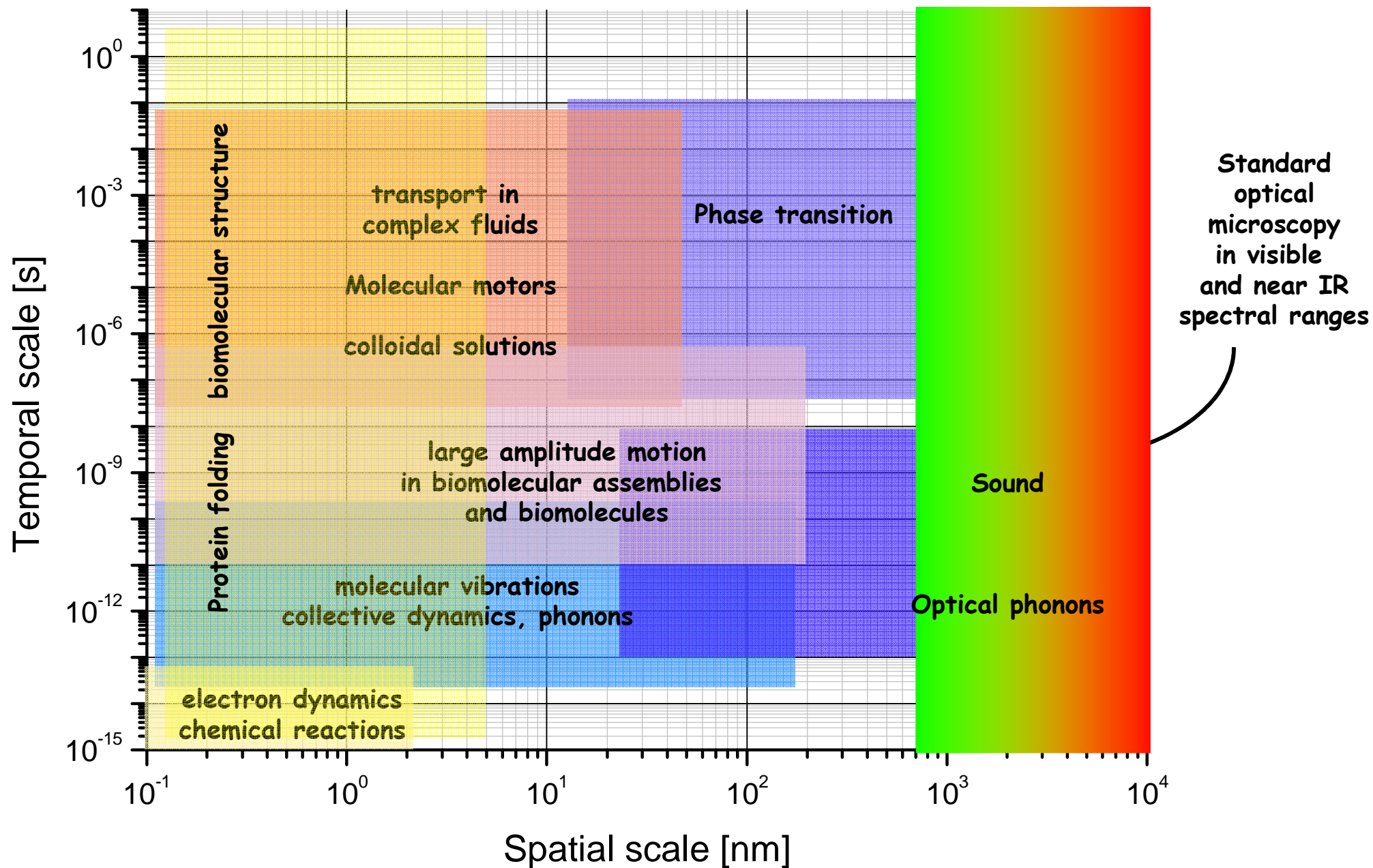
X-ray nobel prizes:  
 Laue, Bragg, Debye, Perutz&Kendrew, Hodgkin, Watson&Crick,  
 Deisenhofer, Huber & Michel, Agre, McKinnon,  
 And many more (> 25 scientists !)

**electron density !**

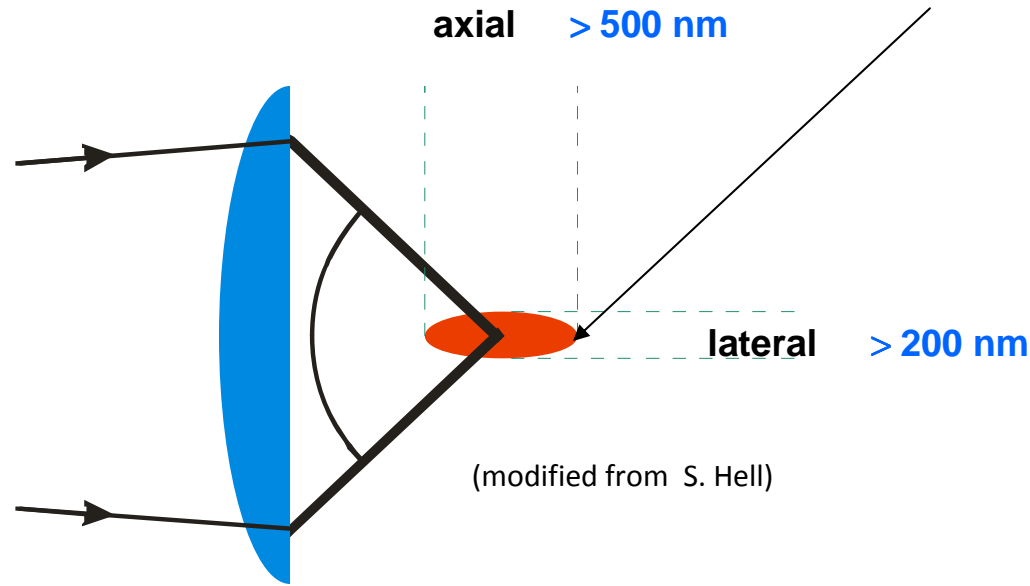
Laue 1912



## 4th advantage: short pulses -- the spatio-temporal map

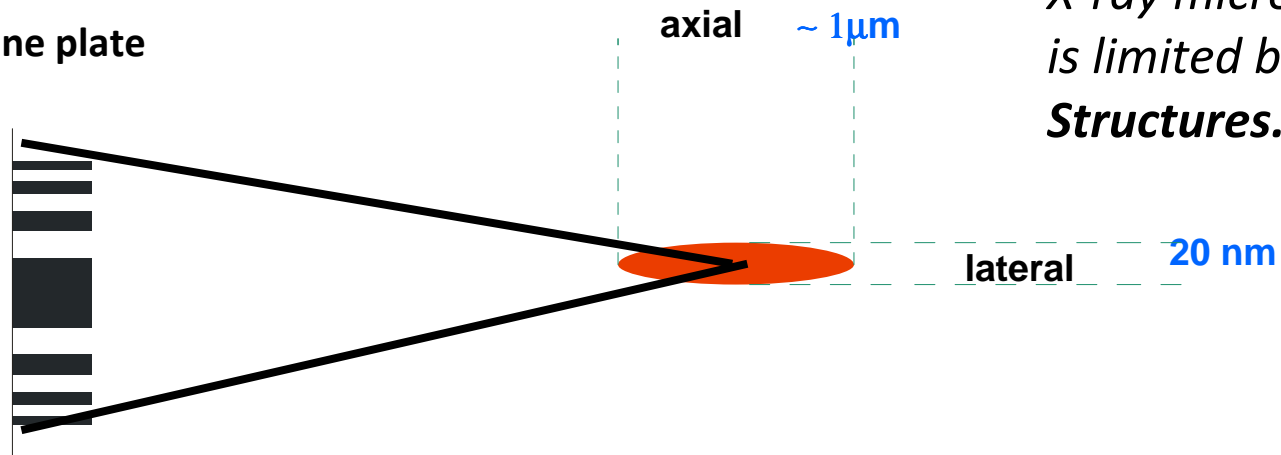


$$\Delta x \approx \frac{\lambda}{2n \sin \alpha}$$

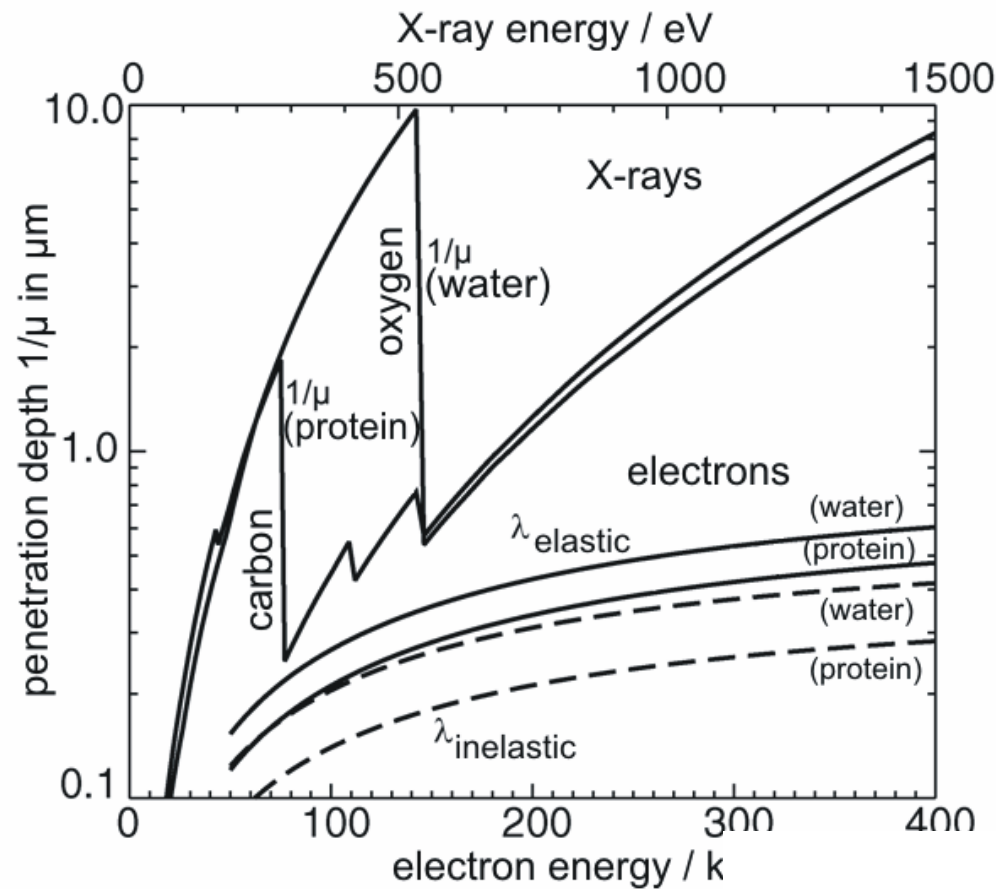
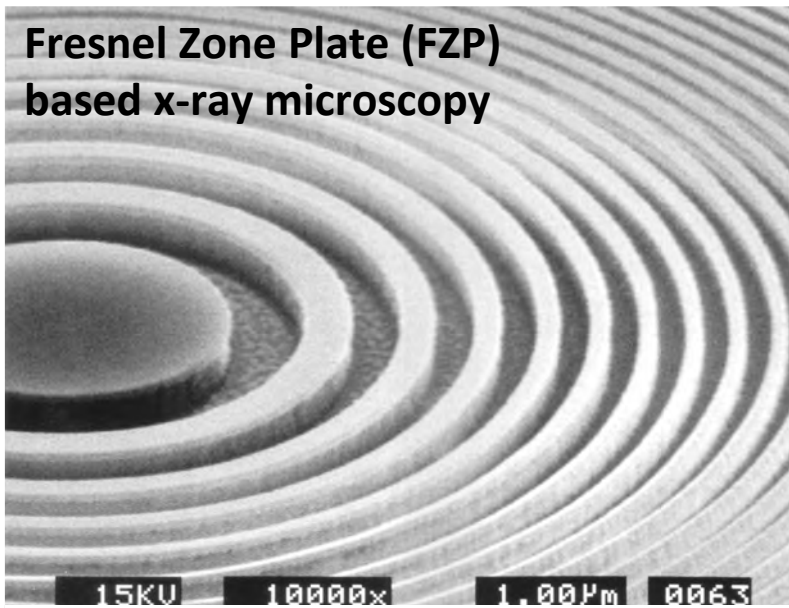


*Conventional light microscopy is limited by diffraction.*

lens / zone plate



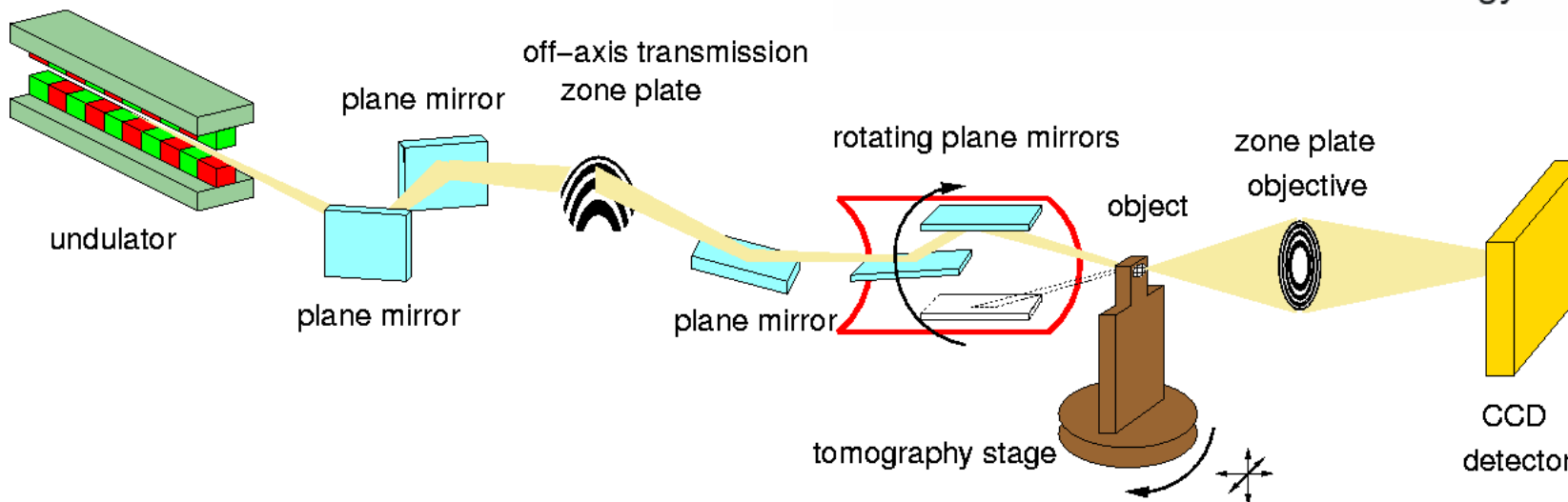
*Conventional X-ray microscopy is limited by diffraction Structures.*



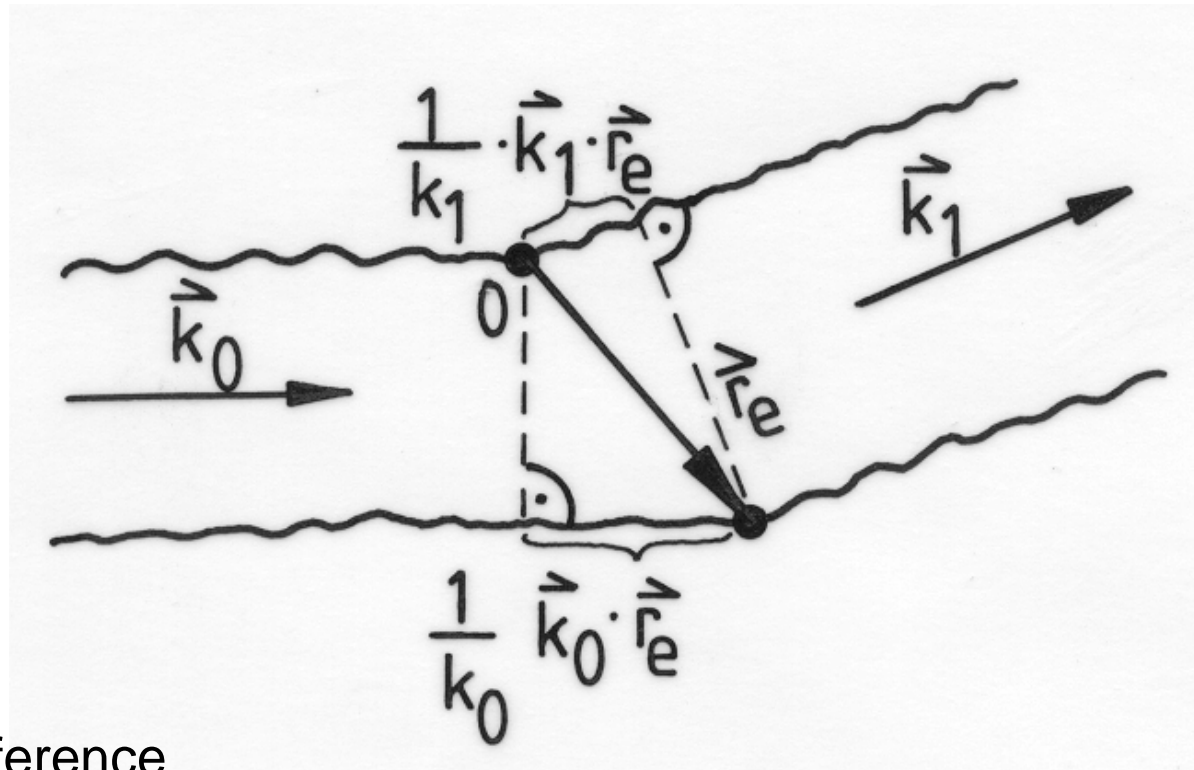
G. Schmahl, D. Rudolph,  
Univ. Göttingen



from J. Kirz et al.



## Interference of two point scattering centers



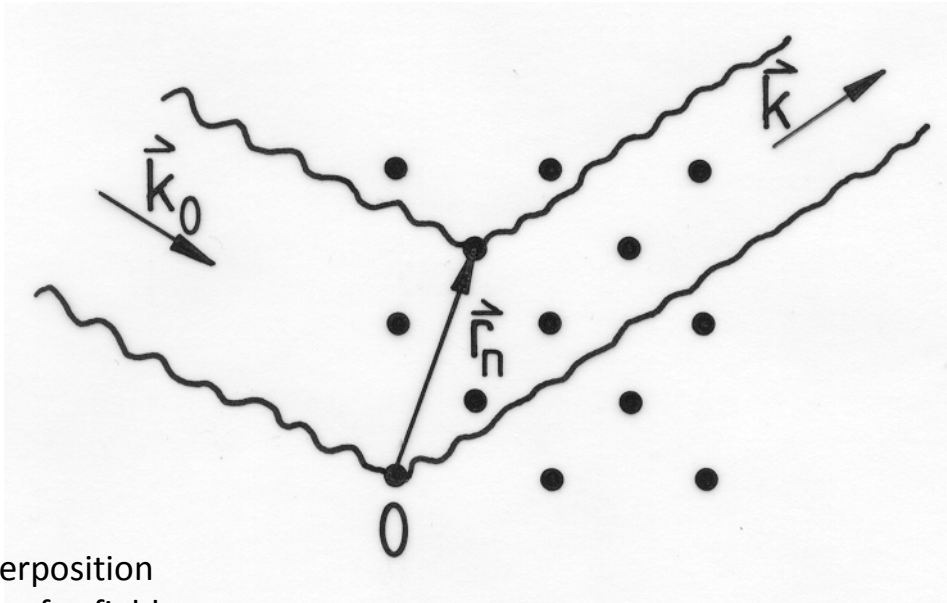
Phase difference

$$= \frac{2\pi}{\lambda_0} \text{opt. Weg} = \frac{2\pi}{\lambda_0} \frac{1}{k_0} (\vec{k}_0 - \vec{k}_1) = (\vec{k}_0 - \vec{k}_1) \cdot \vec{r}_e = \vec{q} \cdot \vec{r}$$

elastic  $k_0 = k_1$



# Interference: from two points to a crystal ...



Atoms (Molecules) on a lattice :

$$\vec{r}_n = n_1 \vec{a} + n_2 \vec{b} + n_3 \vec{c} \quad n_i = 1, 2, 3, \dots$$

simplification:  $f_n = f$

$$S(\vec{q}) = \sum f_n e^{i\vec{q} \cdot \vec{r}_n}$$

Superposition  
In the far-field

$$S(\vec{q}) = \sum_n e^{i\vec{q} \cdot \vec{r}_n} = \sum_{n_1 n_2 n_3} e^{i\vec{q} \cdot (n_1 \vec{a} + n_2 \vec{b} + n_3 \vec{c})} = \left( \sum_{n_1} e^{in_1 \vec{q} \cdot \vec{a}} \right) \left( \sum_{n_2} e^{in_2 \vec{q} \cdot \vec{b}} \right) \left( \sum_{n_3} e^{in_3 \vec{q} \cdot \vec{c}} \right)$$

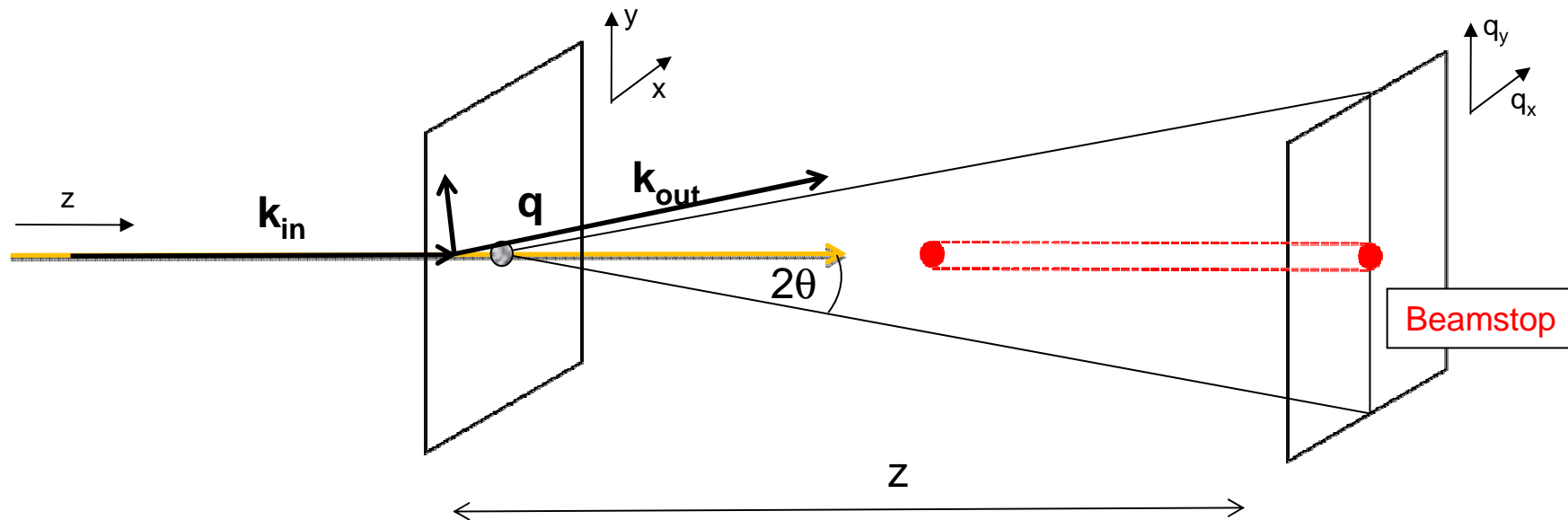
$$\sum_{n_1} e^{in_1 \vec{q} \cdot \vec{a}} = \frac{\sin \frac{N_1}{2} (\vec{q} \cdot \vec{a})}{\sin \frac{1}{2} (\vec{q} \cdot \vec{a})}$$

$$\begin{aligned} \vec{q} \cdot \vec{a} &= 2\pi q \\ \vec{q} \cdot \vec{b} &= 2\pi r \\ \vec{q} \cdot \vec{c} &= 2\pi s \end{aligned}$$

Continuous electron densities:  
the form factor

$$\sum_m e^{i\vec{q} \cdot \vec{r}_m} \rightarrow \int_V d^3r n_e(\vec{r}) e^{i\vec{q} \cdot \vec{r}_m} = f(\vec{q})$$

# CDI : a simple far-field diffraction experiment



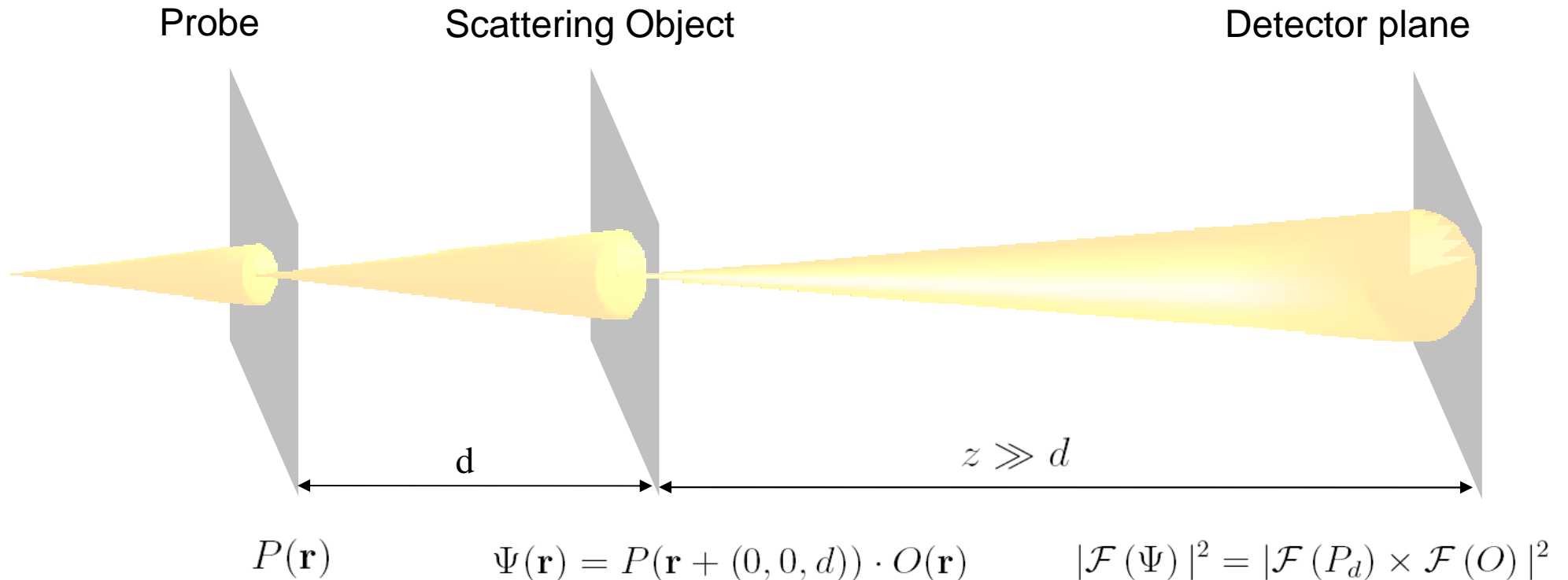
Object Scattering potential

$$\begin{aligned}
 o(\vec{x}) &= r_e \rho(\vec{x}) = \frac{\pi}{\lambda^2} (1 - n^2(\vec{x})) \\
 &\approx \frac{2\pi}{\lambda^2} (\delta(\vec{x}) + i\beta(\vec{x})) \\
 &= \frac{2\pi}{\lambda^2} \Delta n(\vec{x})
 \end{aligned}$$

Observed intensity at Fraunhofer plane

$$\begin{aligned}
 I(\vec{q}) &\propto I_0 \cdot |O(\vec{q})|^2 \\
 &= I_0 \cdot \left| \int P_z[o(\vec{x})] \exp(2\pi i \cdot \vec{q} \cdot \vec{x}) d\vec{x} \right|^2 \\
 &\quad \text{as Born and projection approximation valid ...} \\
 &\text{with} \\
 o(\vec{x} = (x, y)) &= P_z[o(\vec{x} = (x, y, z))] = \int o(x, y, z) dz
 \end{aligned}$$

# Lenseless (diffractive) imaging with coherent x-rays



- projection approximation, multiplicity of P and O
- measured intensity is given as a convolution of object and probe function
- transversal coherence length must be larger than sample
- Use iterative algorithm to retrieve O from its modulus squared Fourier transform
- Separate P and O either experimentally or theoretically

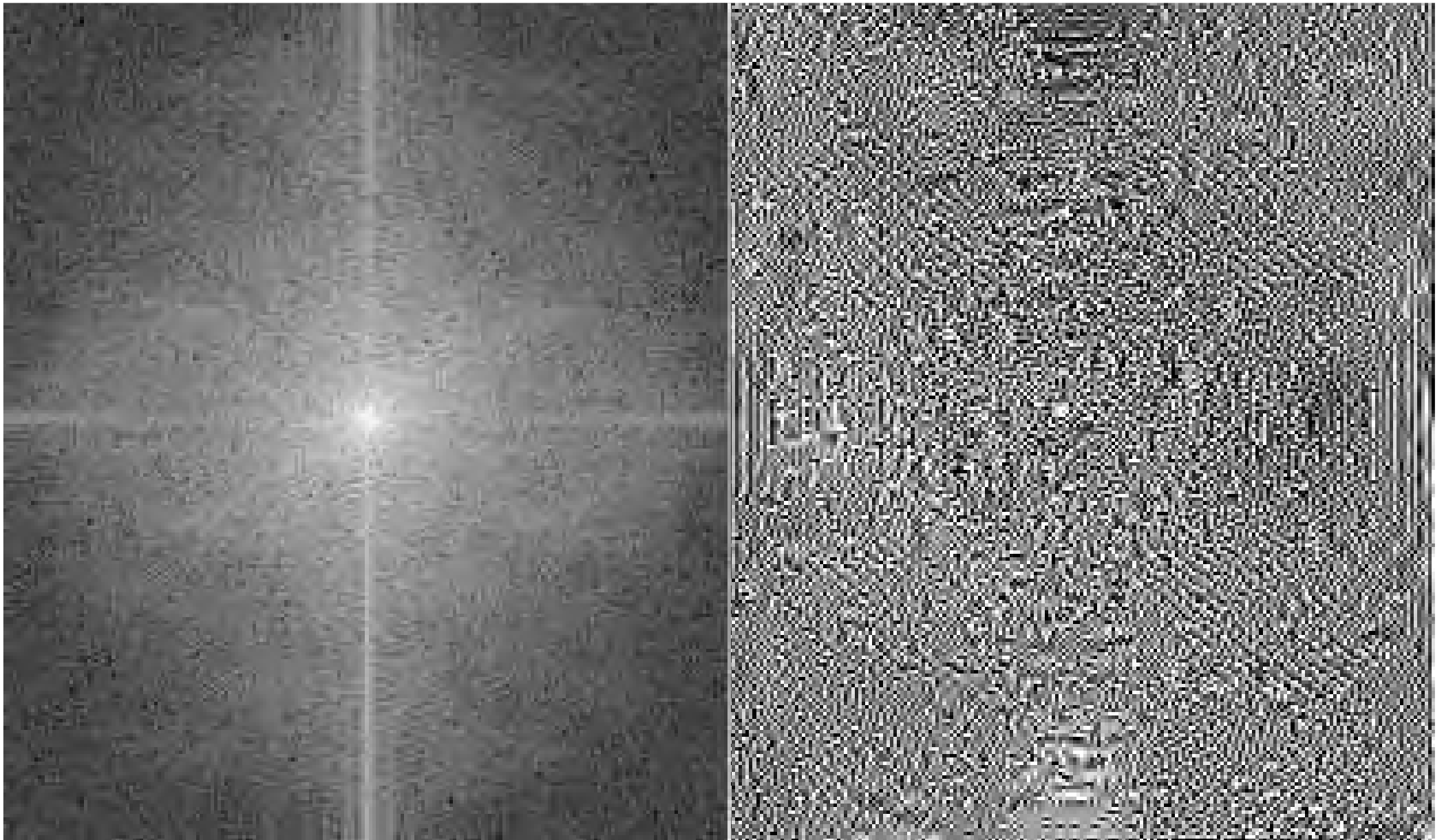
## The phase problem



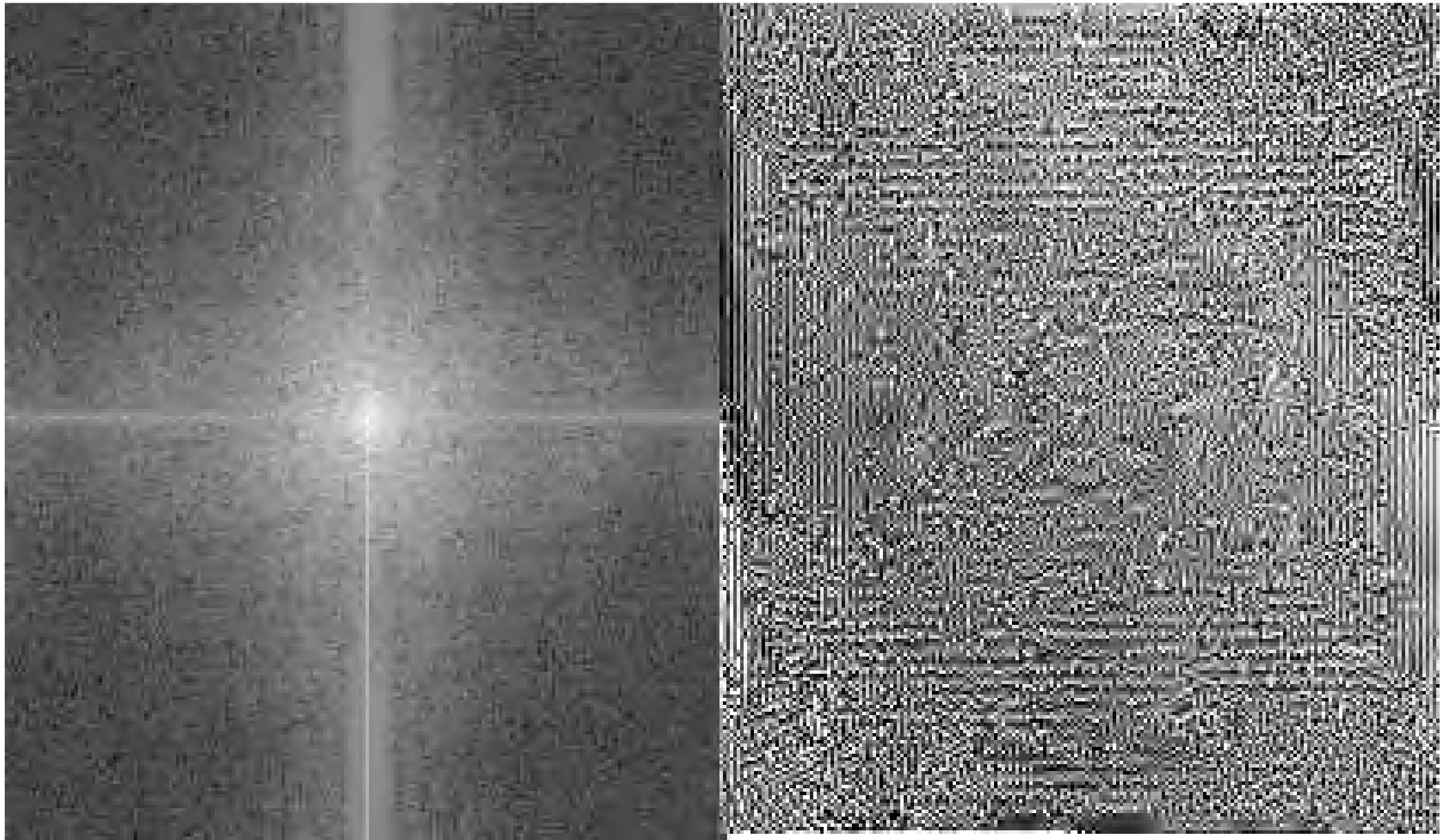
**Augustin Jean Fresnel, 1788-1827**



**Wilhelm Conrad Röntgen, 1845-1923**



$$\hat{\rho}_{kl} = \frac{1}{\sqrt{N \cdot M}} \sum_{i=0}^{N-1} \sum_{j=0}^{M-1} \rho_{ij} e^{-2\pi i \left( \frac{ik}{N} + \frac{j l}{M} \right)}$$





# Phase Problem

Problem

$$\int o(\bar{x}) \exp(2\pi i \cdot \bar{q} \cdot \bar{x}) d\bar{x} \in \mathbb{C} \quad \text{but} \quad I(\bar{q}) \propto I_0 \cdot \left| \int o(\bar{x}) \exp(2\pi i \cdot \bar{q} \cdot \bar{x}) d\bar{x} \right|^2 \in \mathbb{R}$$



„Half“ of the information, **the phase** is lost!



How to get  $o(\bar{x}) = e\rho(\bar{x})$  then ?

Real data: problem is discretized:

$$I(q_x^{(k)}, q_y^{(l)}) = \left| \sum_{i,j=1}^N o(x_i, y_j) \exp\left(2\pi i \cdot \frac{q_x^{(k)} \cdot x_i + q_y^{(l)} \cdot y_j}{N}\right) \right|^2$$
$$= \sum_{\bar{x}, \bar{x}'} c_{\bar{x}, \bar{x}'}(\bar{q}) o(\bar{x}) \cdot o^*(\bar{x}')$$

with

$$x_j = j\Delta x; \quad j = 1..N$$

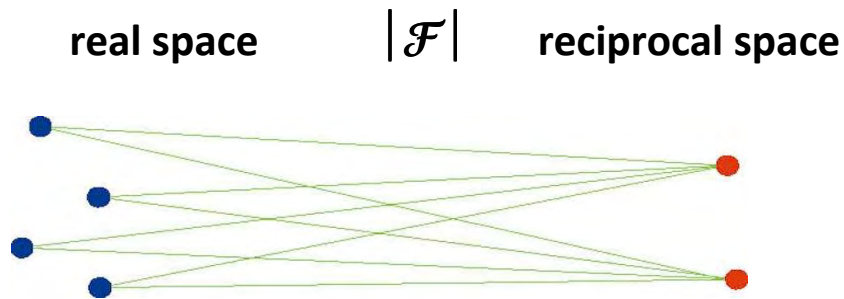
$$y_j = j\Delta y; \quad j = 1..N$$

$$q_{x,y}^{(j)} = j\Delta q; \quad j = 1..N$$

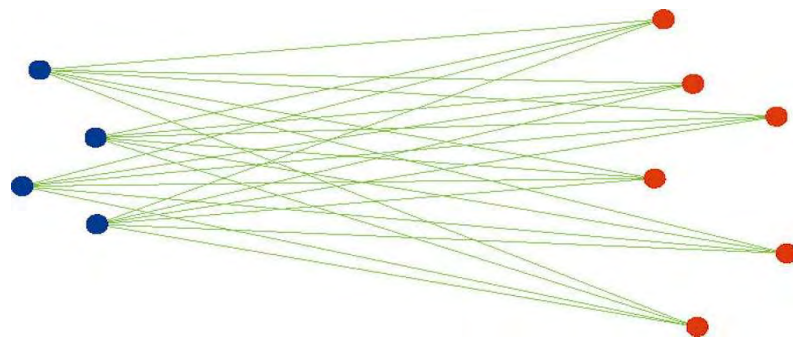
Is there a way to reconstruct  $o(x,y)$  from  $I(q)$  from this nonlinear system of equations?



**„Oversampling“: a strategy to render the problem unique ?**



$$I(\vec{q}) \propto \left| \sum_{i,j} \rho_{ij} e^{i\vec{q} \cdot \vec{r}_i} \right|^2$$



“Oversampling”

J.Miao et al., *J. Opt. Soc. Am.* '98  
J.Fienup, *Appl. Opt.* 21, 2758 (1982)  
J.Miao, J. Kirz & D. Sayre, *Acta Cryst. D* 56, 1312 (2000)

**Information theory (Shannon) ?  
really more information**

More unknowns than equations ?

$$I(q_{kl}) = F(q_{kl}) F^*(q_{kl}) = \left| \sum_i^{N-1} \sum_j^{N-1} \rho_{ij} e^{-i(q_k x_i + q_l y_i)} \right|^2 \quad h, k, i, j = 0 \dots N - 1$$

$N^2$  (2D) unknowns  $\rho_{ij}$ ,  
but only  $N^2/2$  independent equations

$$F(q) = F^*(-q)$$

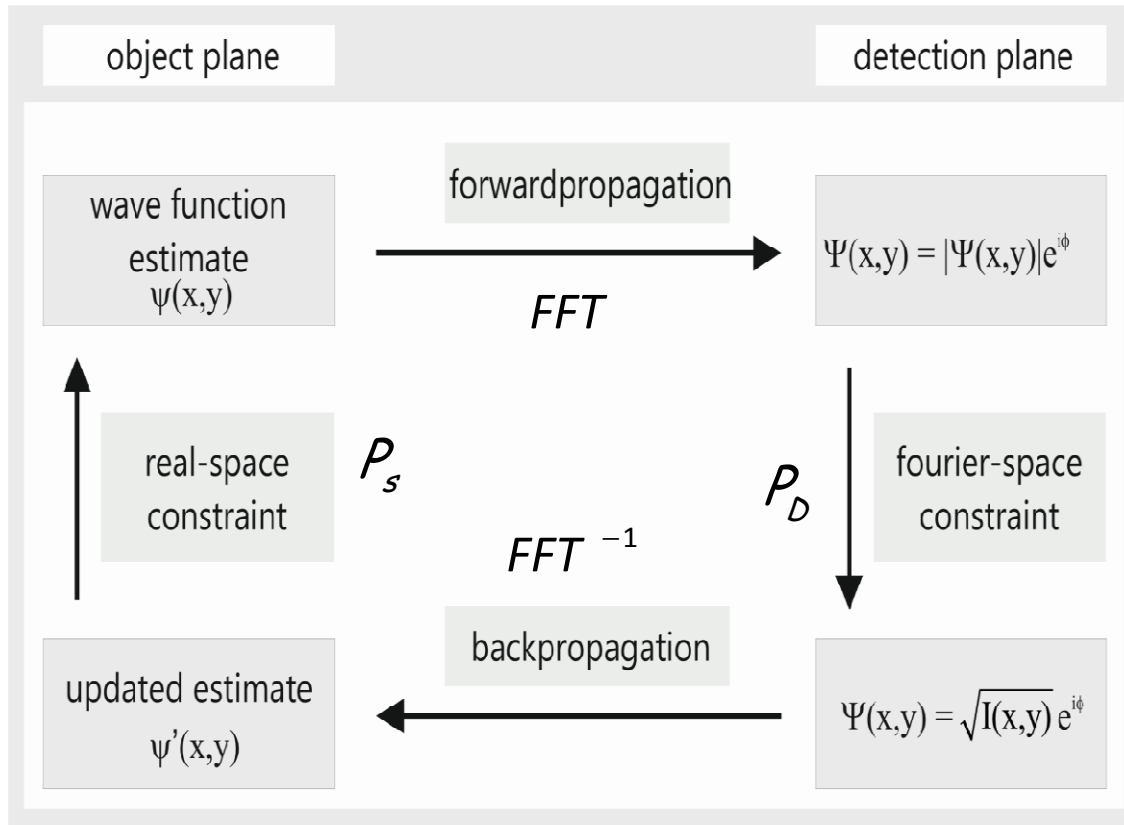
$\rho(r) = 0$  out of support !

*If support is half the size of  
the field of view in both both dimensions:*

$N^2$  (2D) unknowns  $\rho_{ij}$ ,  
4  $N^2$  equations  
2  $N^2$  independent equations

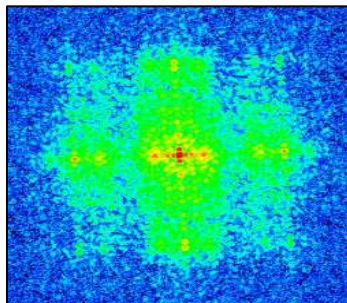
***But how to solve this set of independent equations ?***

# Solution of the phase problem by iterative algorithms



$$\rho_{i+1}(r) =$$

$$\begin{cases} \rho_i(r) & r \in S \cap \rho_i(r) > 0 \\ \rho_i(r) - \beta \rho_i(r) & r \notin S \cup \rho_i \leq 0 \end{cases}$$



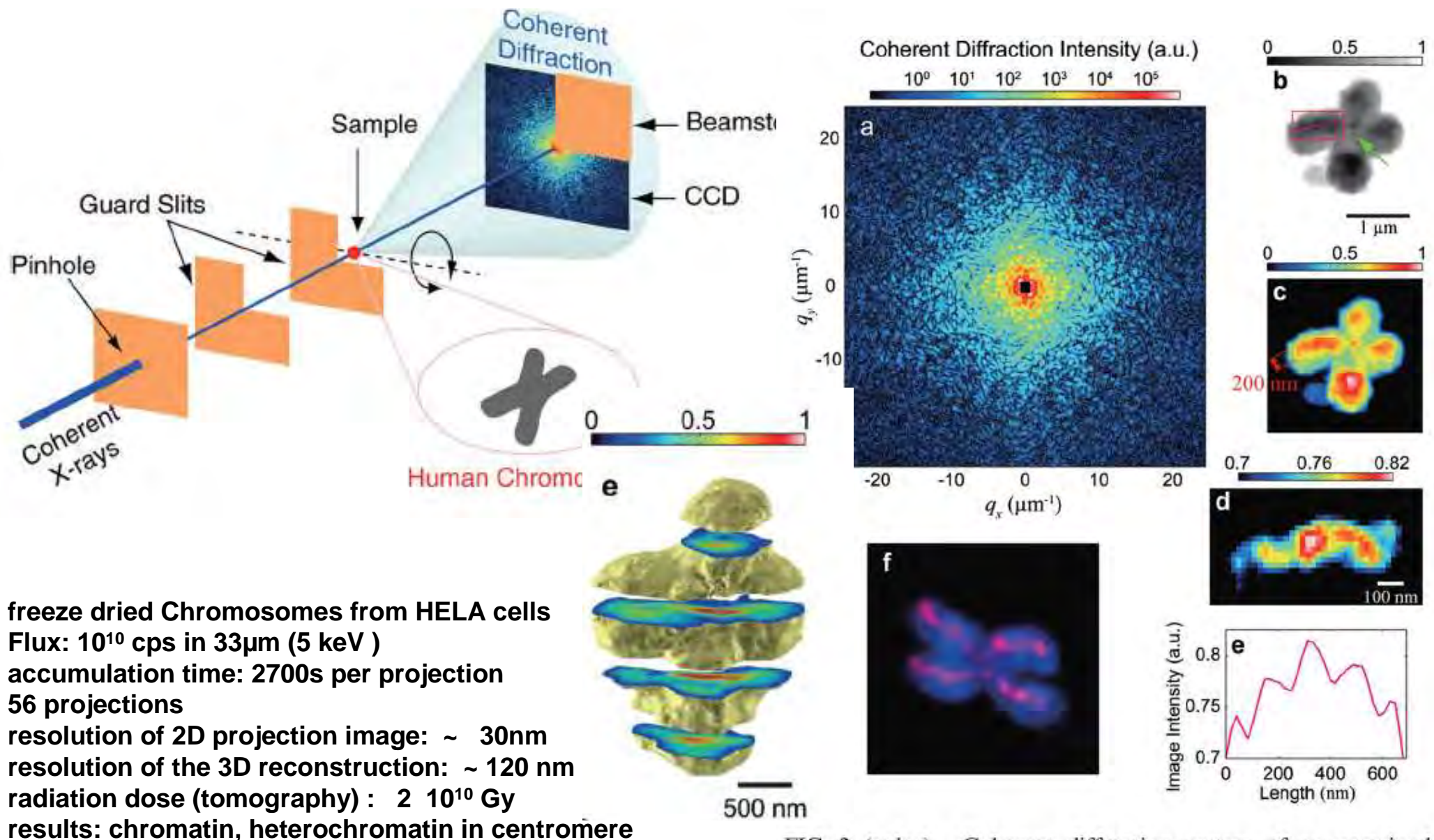
coherent scattering patte



reconstructed image

*J. Miao et al., Nature* **400**, 342 (1999)

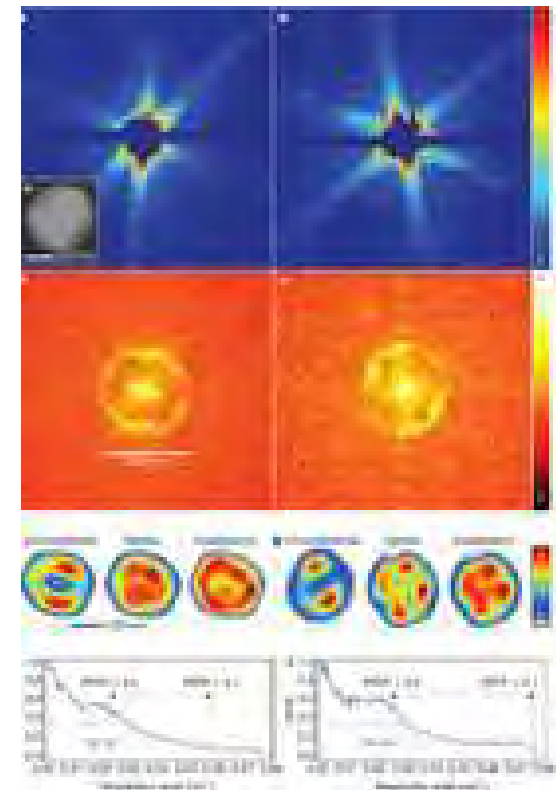
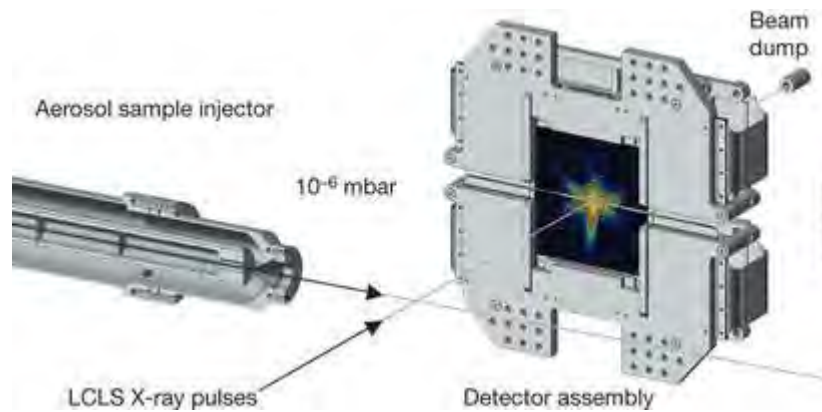
### Three-Dimensional Visualization of a Human Chromosome Using Coherent X-Ray Diffraction



freeze dried Chromosomes from HELA cells  
 Flux:  $10^{10}$  cps in  $33\mu\text{m}$  (5 keV)  
 accumulation time: 2700s per projection  
 56 projections  
 resolution of 2D projection image:  $\sim 30\text{nm}$   
 resolution of the 3D reconstruction:  $\sim 120\text{nm}$   
 radiation dose (tomography) :  $2 \cdot 10^{10}$  Gy  
 results: chromatin, heterochromatin in centromere

FIG. 2 (color). Coherent diffraction pattern of an unstained human chromosome and its reconstructed projection image. The

# The Mimi virus: single shot biological imaging with an FEL

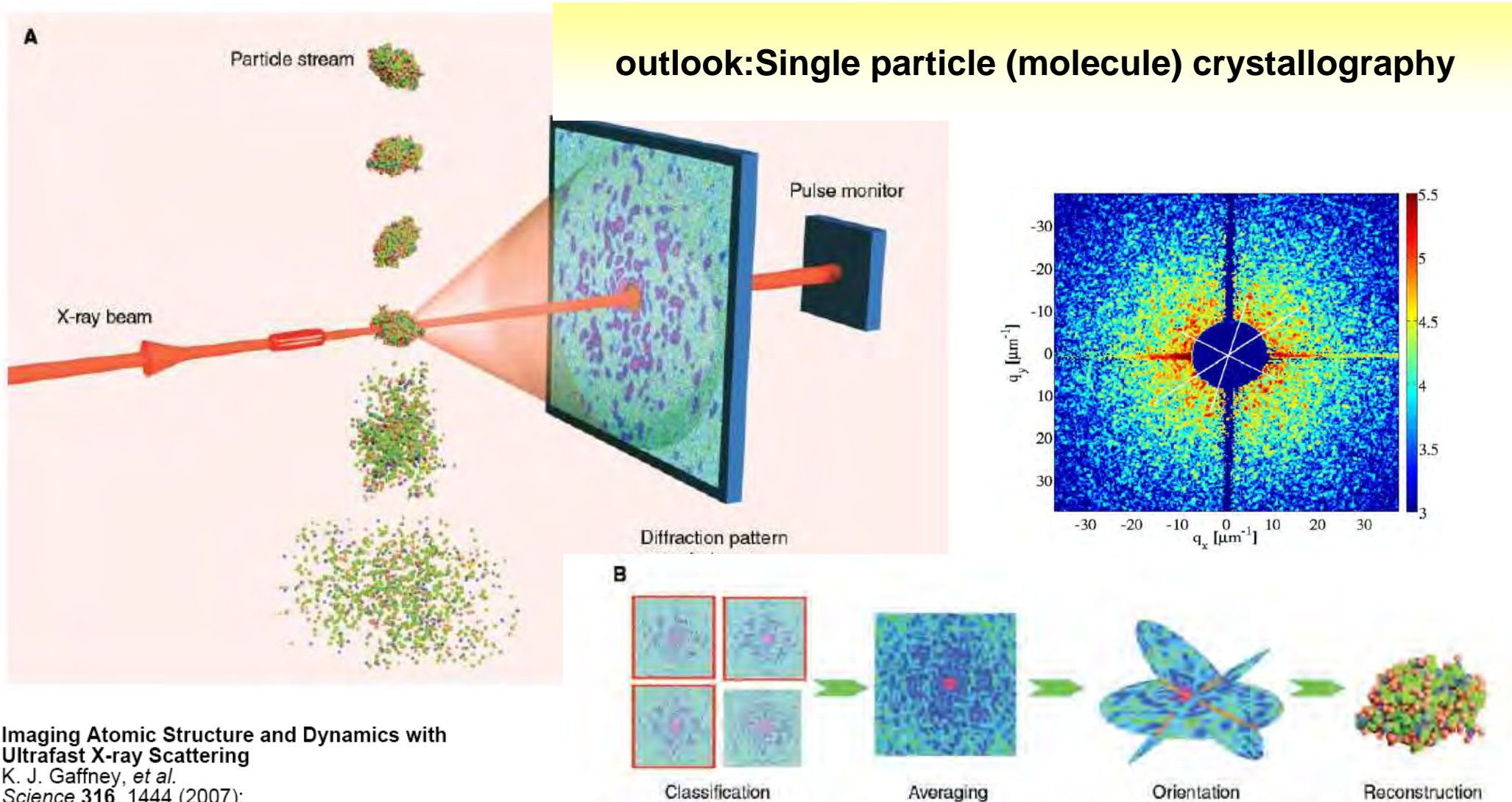


Nature | Letter

Single mimivirus particles intercepted and imaged with an X-ray laser  
Seibert et al. (2011)

LCLS, Haidu Chapman collaborations

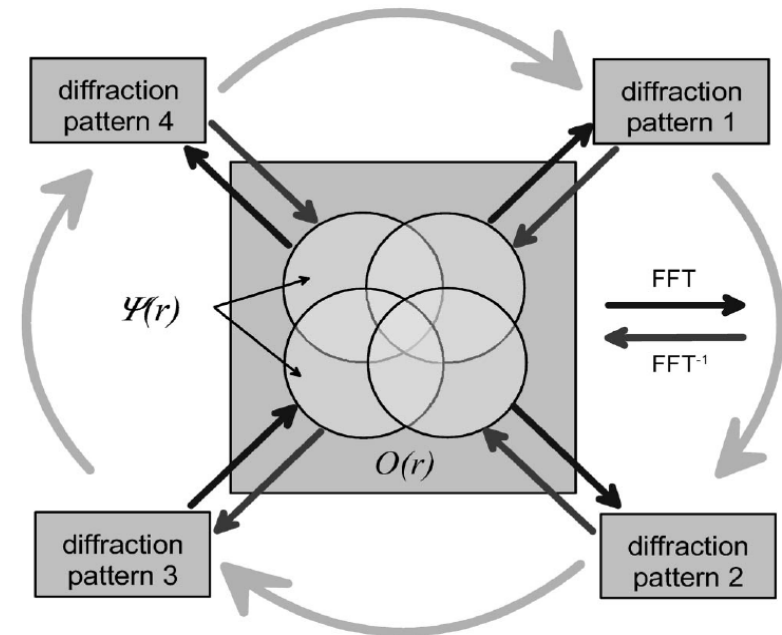
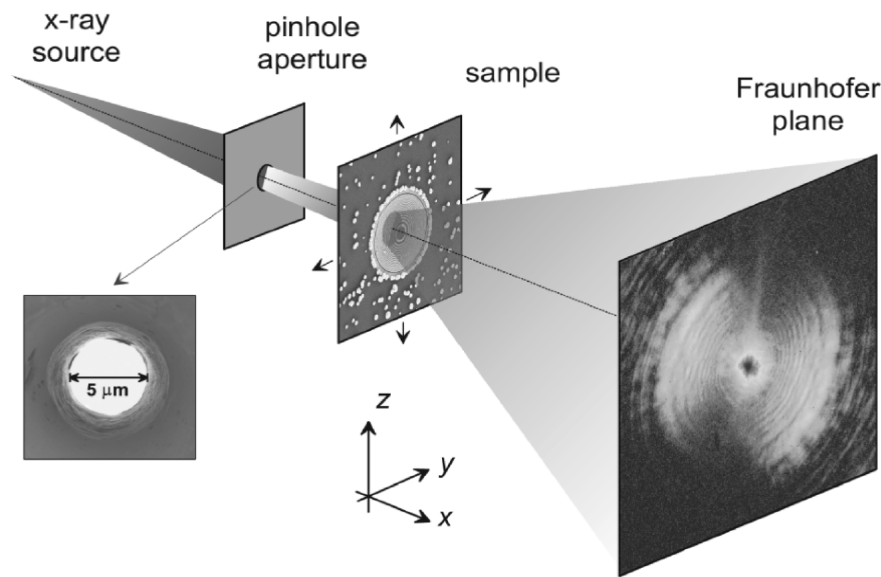
## outlook: Single particle (molecule) crystallography



Imaging Atomic Structure and Dynamics with  
Ultrafast X-ray Scattering  
K. J. Gaffney, *et al.*  
*Science* 316, 1444 (2007);

**Fig. 2.** Schematic depiction of single-particle coherent diffractive imaging with an XFEL pulse. **(A)** The intensity pattern formed from the intense x-ray pulse (incident from left) scattering off the object is recorded on a pixellated detector. The pulse also photo-ionizes the sample. This leads to plasma formation and Coulomb explosion of the highly ionized particle, so only one diffraction pattern [a single two-dimensional (2D) view] can be recorded from the particle. Many individual diffraction patterns are recorded from single particles in a jet (traveling from top to bottom). The particles travel fast enough to clear the beam by the time the next pulse (and particle) arrives. The data must be read out from the detector just as quickly. **(B)** The full 3D diffraction data set is assembled from noisy diffraction patterns of identical particles in random and unknown orientations. Patterns are classified to group patterns of like orientation, averaged within the groups to increase signal to noise, oriented with respect to one another, and combined into a 3D reciprocal space. The image is then obtained by phase retrieval.

# Ptychography Technique



Rodenburg *et al.* *PRL* **98**, 034801 (2007)

## Without probe retrieval: Ptychographic Iterative Engine (PIE)

Nellist, P.D., McCallum, B.C. and Rodenburg, J.M. *Nature* **374**, 630 (1995)

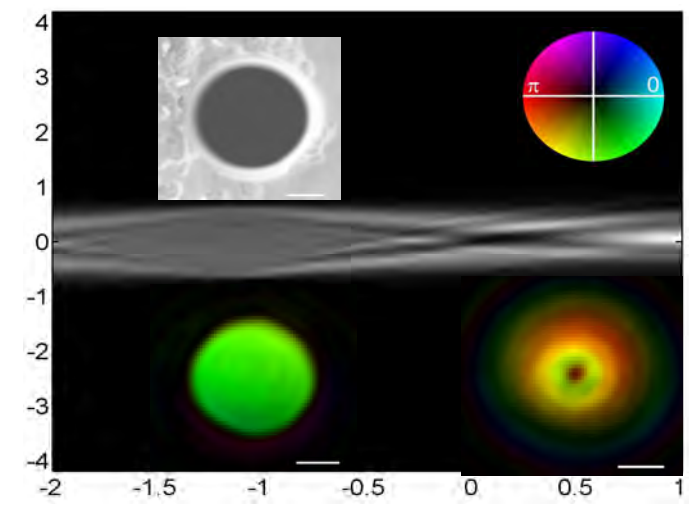
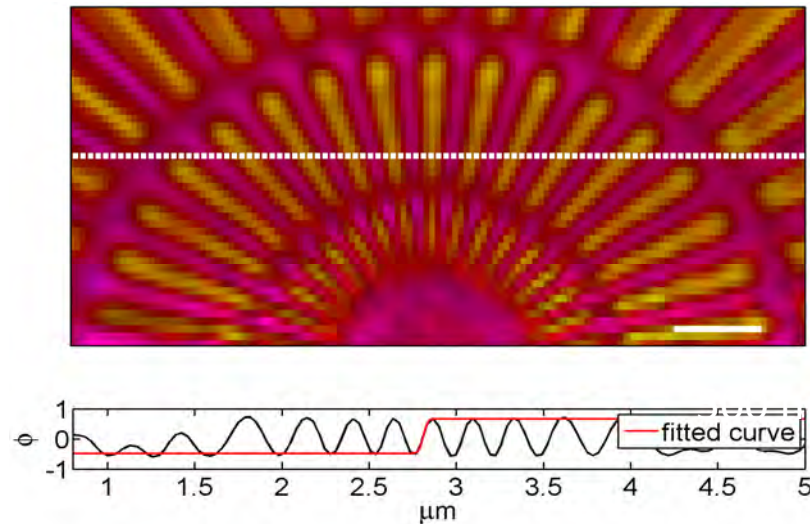
Rodenburg *et al.* *PRL* **98**, 034801 (2007)

## With probe retrieval: Scanning X-ray Diffraction Microscopy or Ptychographic CDI

Thibault, P. *et al.* *Science* **321**, 379 (2008)

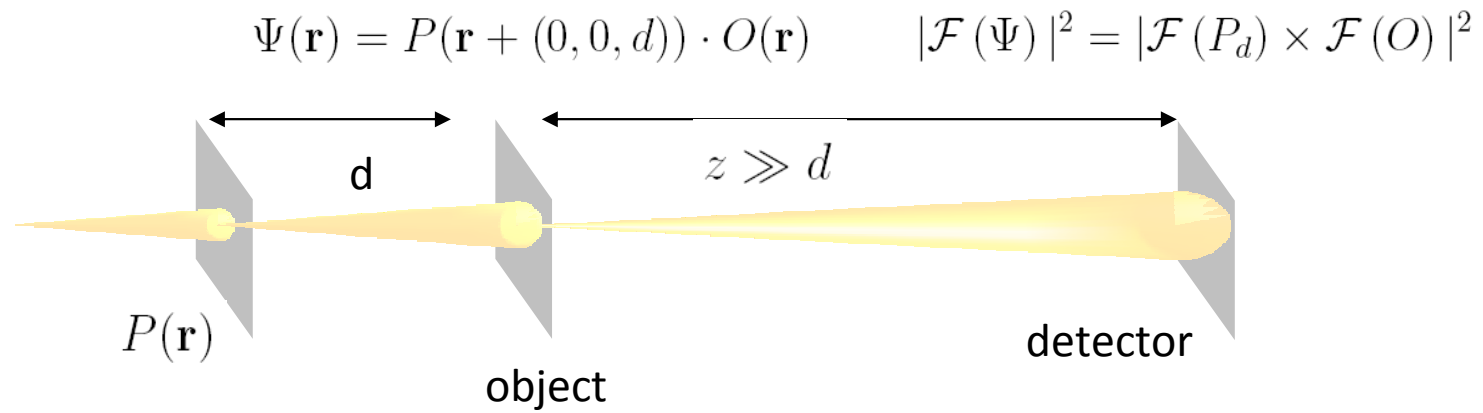
Guizar-Sicairos M., and Fienup, J.R. *Optics Express* **16**, 94330 (2008)

# reconstruction of object and illumination function

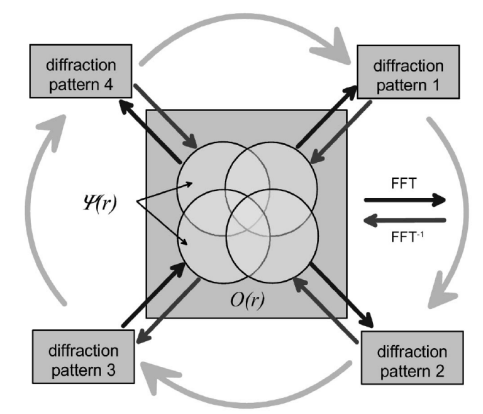


Giewekemeyer *et al.*, PNAS (2010)

6.2 keV 500 nm Ta test sample Resolution (FWHM) 50 nm, fluence  $5.1 \cdot 10^6$  ph/ $\mu\text{m}^2$   
 Measured phase and amplitude change: 0.34p and 0.86 (expected: 0.34p and 0.88)



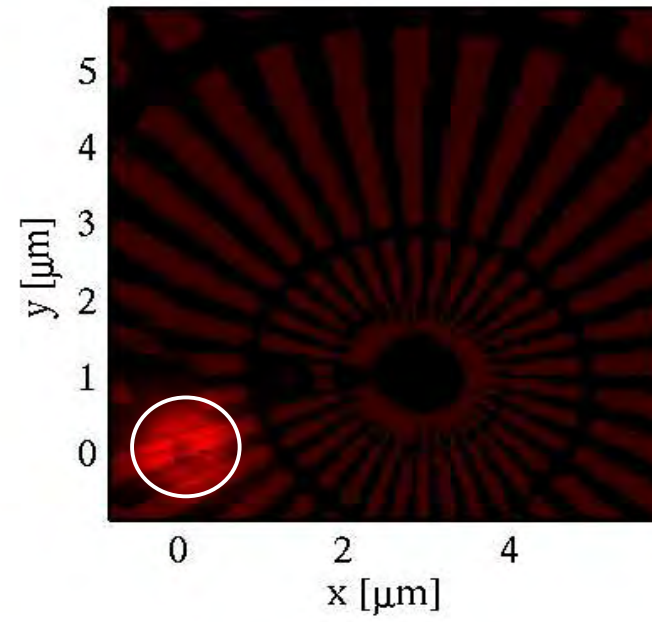
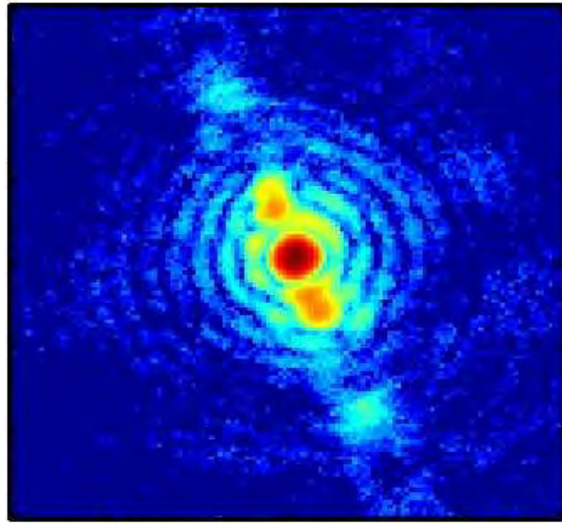
illumination



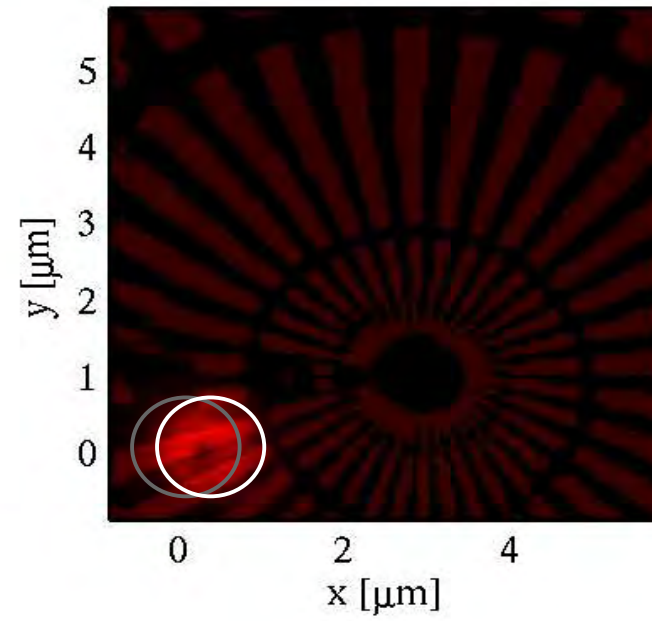
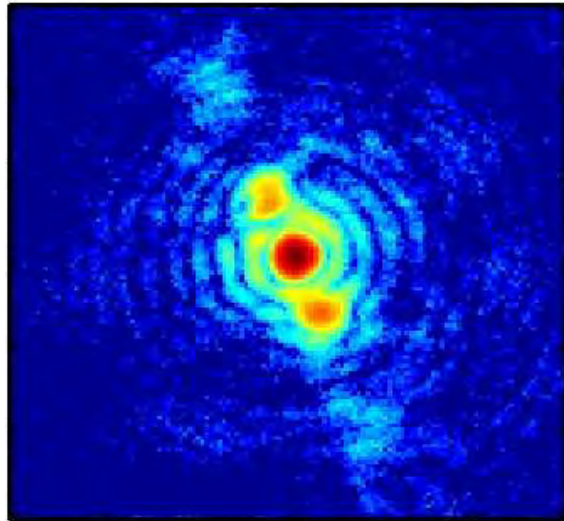
Thibault, P, Dierolf, M, Menzel, A, Bunk, O, David, C, and Pfeiffer, F High-resolution scanning X-ray diffraction microscopy. *Science* 321:379–382 (2008).



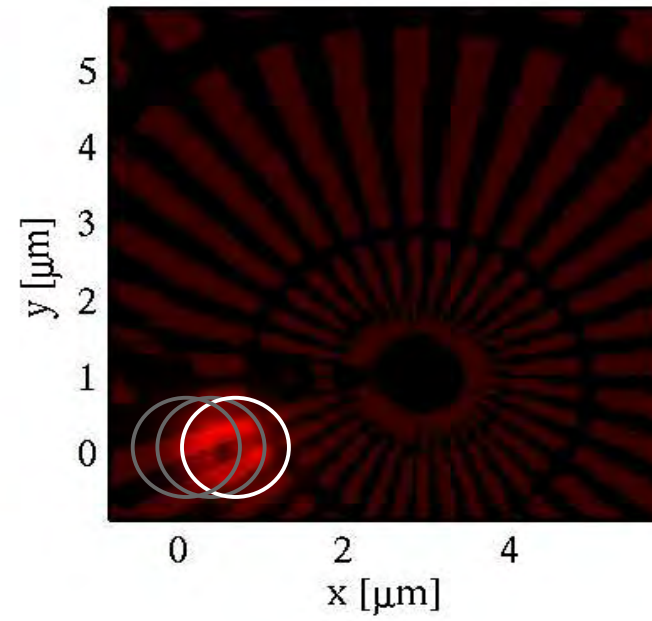
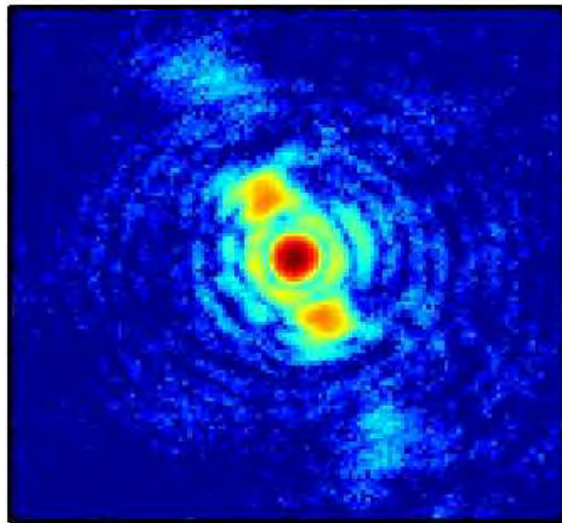
# Ptychography - Principle



# Ptychography - Principle

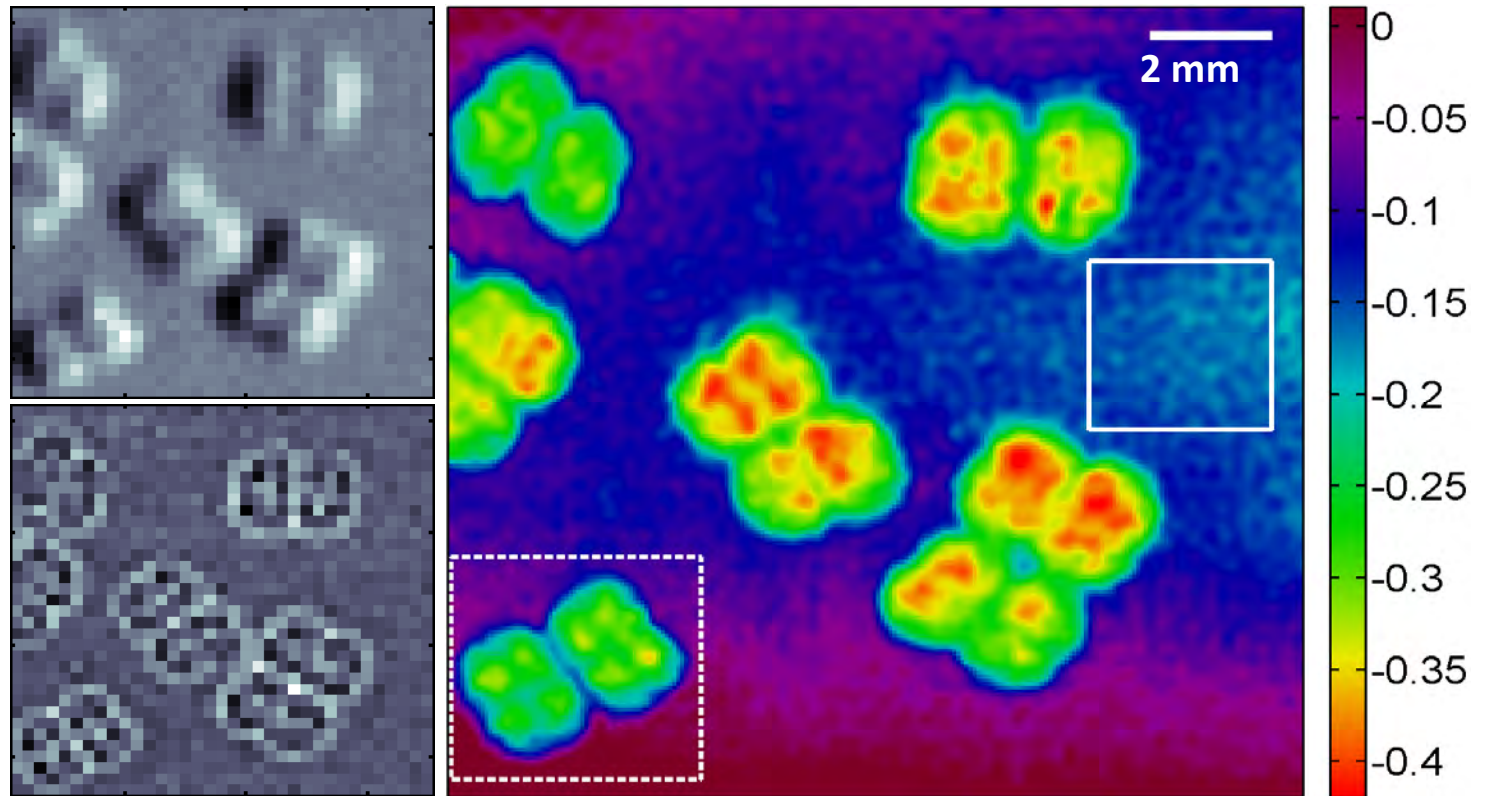


# Ptychography - Principle



# DNA packing in nucleoids: *Deinococcus Radiodurans*

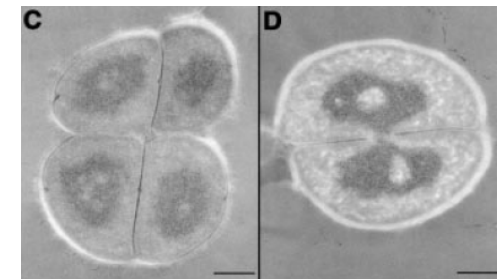
- Among most radiationresistant organisms on earth, can survive 15 kGy of ionizing radiation
- Very effective DNA repair mechanism, DNA packing debated



Left: Scanning X-ray Microscopy  
DPC / dark field

Right: super-resolution by phasing the  
coherent diffraction pattern

- Freeze-dried, unstained and unsliced cells
- Overall phase shift of single cell 0.25-0.3 rad (< 10% p), consistent with simulations
- 2500 iterations of SXDM algorithm, averaged over each 5<sup>th</sup> iterate, starting at 2000

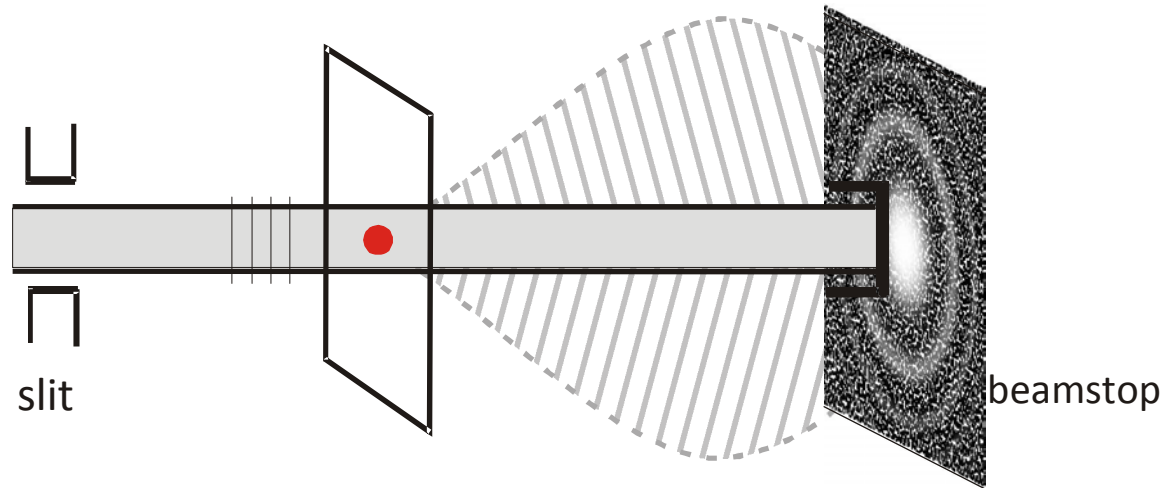


TEM-slices, Os-stained chromatin  
Levin-Zaidman, Science (2003)



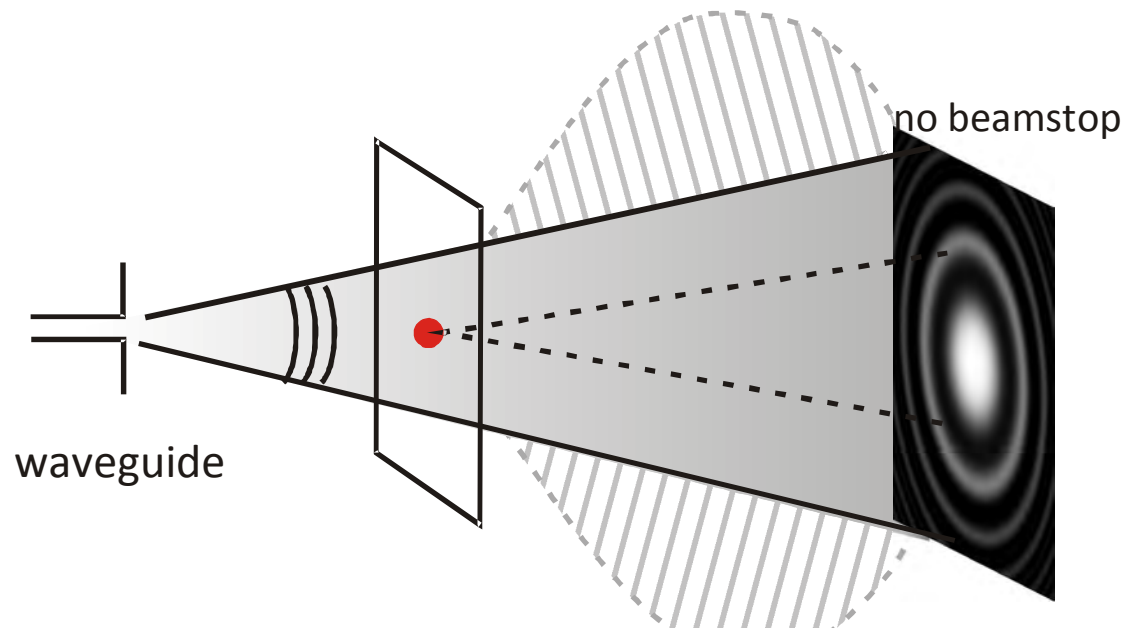
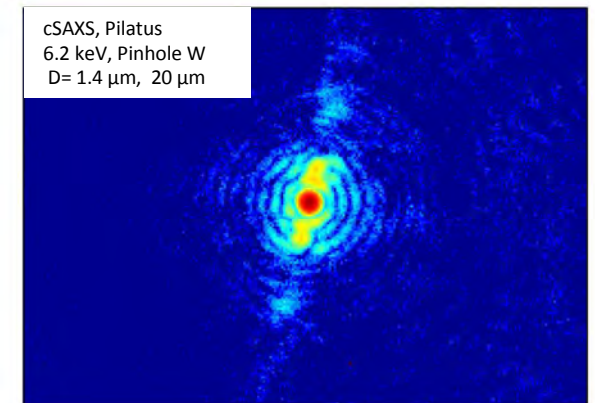
2x3 μm size, tetrad morphology  
4 identical copies of the genome

# Far-field and near-field diffraction patterns !

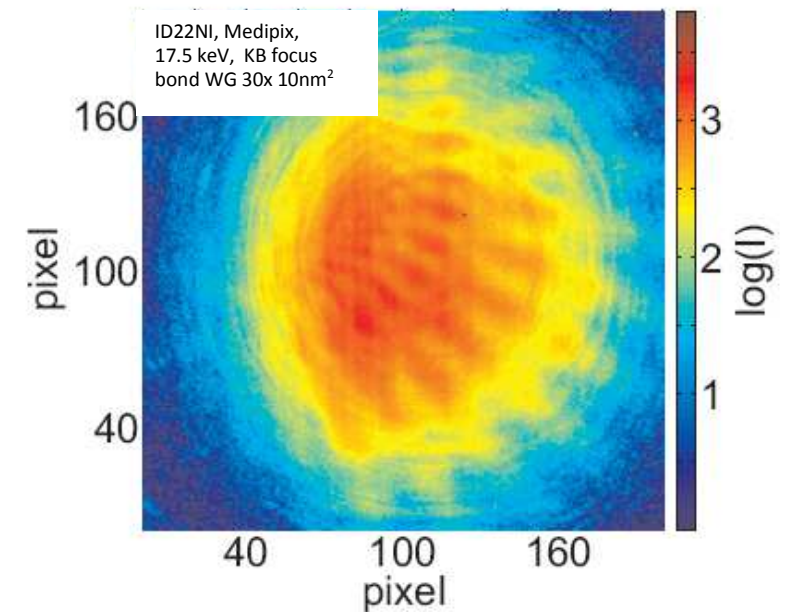


Missing low-q components

coherent farfield diffraction pattern



Fresnel diffraction pattern



## 1.1 wave equations: a sequence of separations

$$\nabla^2 \psi(\vec{r}, t) + \frac{n^2(\vec{r})}{c^2} \partial_t^2 \psi = 0$$

$$\psi(\vec{r}, t) = u(x, y, z) \exp(-i\omega t)$$

$$\nabla^2 u(x, y, z) + k^2 u = 0$$

$$u(x, z) = A(x, z) \exp(ik_0 z)$$

## Parabolic wave equation

Ansatz

$$u(x, z) = A(x, z) \exp(ik_0 z)$$

insert in Helmholtz-Equation

$$\frac{\partial^2 A}{\partial x^2} + 2ik_0 \frac{\partial A}{\partial z} + \frac{\partial^2 A}{\partial z^2} + k_0^2 [n^2(x, z) - 1]A = 0$$

neglecting second order variation along optical axis

$$\frac{\partial^2 A}{\partial x^2} + 2ik_0 \frac{\partial A}{\partial z} + k_0^2 [n^2(x, z) - 1]A = 0$$

and in free space

$$\frac{\partial^2 A}{\partial x^2} + 2ik_0 \frac{\partial A}{\partial z} = 0$$

## Justification of parabolic wave equation for small paraxial angles

plane wave in homogeneous media

$$u(x, z) = u_0 \exp[i(k_x x + k_z z)]$$

with  $k_x = nk_0 \sin \alpha$  and  $k_z = nk_0 \cos \alpha$ , we have

$$A(x, z) = u_0 \exp[ink_0 (\sin \alpha) x] \exp[ik_0 \{(n \cos \alpha) - 1\} z]$$

$A(x, z)$  varies slowly with  $z$  ! For the derivatives

$$\frac{\partial^2 u}{\partial x^2} = -n^2 k_0^2 (\sin \alpha)^2 u = O(\alpha^2 k_0^2 u) = O(\delta k_0^2 u)$$

$$2ik_0 \frac{\partial A}{\partial z} = -2k_0^2 (n \cos \alpha - 1) A \approx -2nk_0^2 ((1 - \delta) * (1 - \frac{1}{2} \alpha^2) - 1) A = O(\delta k_0^2 A)$$

$$k_0^2 (n^2 - 1) A = k_0^2 (1 - 2\delta + \delta^2 - 1) u = O(\delta k_0^2 A)$$

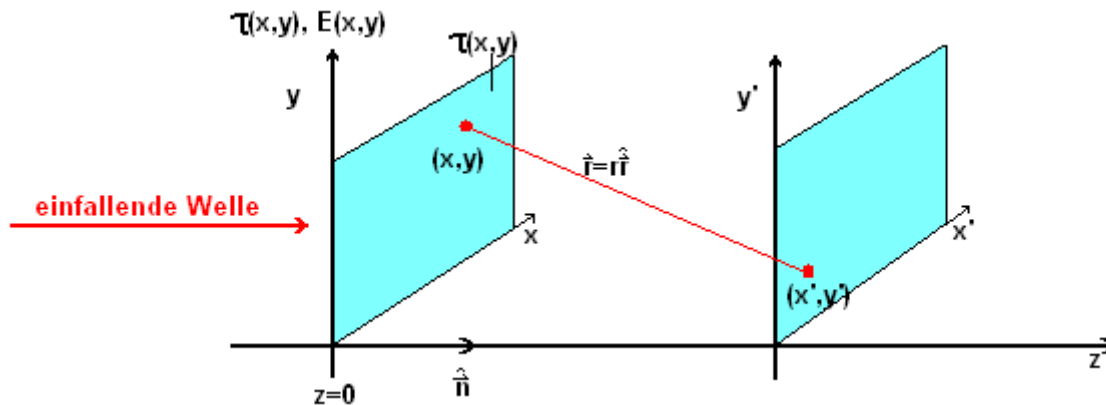
$$\frac{\partial^2 u}{\partial z^2} = -k_0^2 (n \cos \alpha - 1)^2 u = O(\delta^2 k_0^2 A)$$

last term smaller by factor  $\delta \ll 1$  and can be neglected



# Kirchhoff diffraction integral

- derive diffraction integral (by use of Greens formula) from Helmholtz eq.
- Object plane  $z=0$  with complex transmission  $\tau(x,y)$
- illumination with plane wave, wavelength  $\lambda$



$$E(x', y', z) = \frac{1}{i\lambda} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} E(x, y) \frac{1}{r} \exp(ikr) \frac{1 + \cos(\vec{n} \cdot \vec{r})}{2} dx dy$$

- Field in object plane  $E(x,y) = \tau(x,y)E_i(x,y)$ ,
- Source point  $(x,y,0)$  emits spherical wave (Huygens)
- Superposition of emitted waves  $E(x',y',z)$  in image plane

## how the object comes in (Born approximation)

$$E_{out}(x, y) = E_{in}(x, y) T(x, y)$$

$$T(x, y) = \text{Exp}\left[-k \int_{z_{object}-\varepsilon}^{z_{object}+\varepsilon} dz (\beta(x, y, z) + i\delta(x, y, z))\right]$$

$$T(x, y) = \sqrt{T_{int}(x, y)} e^{i\varphi(x, y)} \quad T_{int} = |T(x, y)|^2 \quad \varphi(x, y) = \arg T$$

## paraxial approximation

$$\cos(\hat{n}\hat{r}) \approx 1$$

$$r = \sqrt{(x' - x)^2 + (y' - y)^2 + z^2} = z \sqrt{1 + \frac{(x' - x)^2}{z^2} + \frac{(y' - y)^2}{z^2}} \approx z + \frac{(x' - x)^2}{2z} + \frac{(y' - y)^2}{2z}$$

$$E(x', y', z) = \frac{\exp(ikz)}{i\lambda z} \iint E(x, y) \exp\left(\frac{ik}{2z} [(x' - x)^2 + (y' - y)^2]\right) dx dy$$

$$\frac{\exp(ikz)}{i\lambda z} \exp\left[i\frac{\pi}{\lambda z} (x'^2 + y'^2)\right] \iint E(x, y) \exp\left(\frac{i\pi}{\lambda z} (x^2 + y^2)\right) \exp\left[-2\pi i \left(\frac{x'}{\lambda z} x + \frac{y'}{\lambda z} y\right)\right] dx dy$$

$$C(x', y', z) \iint E(x, y) \exp\left(\frac{i\pi}{\lambda z} (x^2 + y^2)\right) \exp\left[-i\frac{2\pi}{\lambda} \left(\frac{x'}{z} x + \frac{y'}{z} y\right)\right] dx dy$$

$$C(x', y', z) \quad FT \left[ E(x, y) \exp\left[\frac{i\pi}{\lambda z} (x^2 + y^2)\right] \right] \left( \frac{x'}{\lambda z}, \frac{y'}{\lambda z} \right)$$

2D Fourier trans. in  $\frac{x'}{\lambda z}$  and  $\frac{y'}{\lambda z}$

$$\iint E(x, y) \exp\left(\frac{i\pi}{\lambda z} (x^2 + y^2)\right) \exp[i(q_x x + q_y y)] dx dy \quad (q_x = \frac{2\pi}{\lambda} \tan\theta \approx \frac{2\pi}{\lambda} \frac{x'}{z})$$

## Fraunhofer approximation

$$E(x',y',z) = C(x',y',z) \iint E(x,y) \exp\left(\frac{i\pi}{\lambda z} (x^2 + y^2)\right) \exp[i(q_x x + q_y y)] dx dy$$

for large distances compared to object  $x^2, y^2 \ll \lambda z$  (Fraunhofer diffraction)

$$E(x',y',z) = C(x',y',z) \iint E(x,y) \exp[i(q_x x + q_y y)] dx dy$$

definition of Fresnel number:  $F = a^2 / \lambda z$

size of object (aperture):  $a$

## Computation of the FK integral

$$E(x', y', z) = \frac{\exp(ikz)}{i\lambda z} \iint E(x, y) \exp\left(\frac{ik}{2z} [(x' - x)^2 + (y' - y)^2]\right) dx dy$$
$$\propto E \otimes h_z$$

with Fresnel propagator

$$h_z(x, y) = \frac{\exp(ikz)}{i\lambda z} \exp\left(\frac{ik}{2z} (x^2 + y^2)\right)$$

$$E(x', y', z) = FT^{-1} [FT[E(x, y)] \cdot FT[h_z(x, y)]]$$

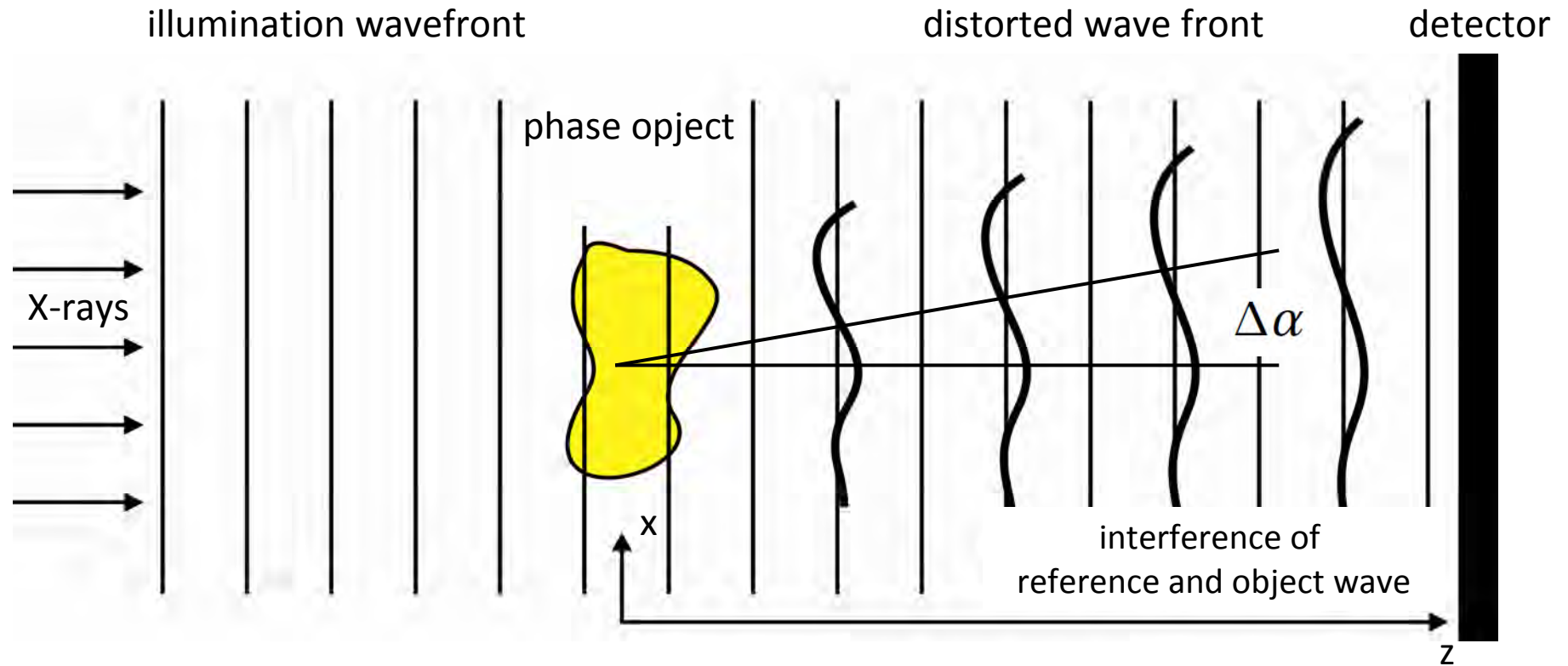
$$FT[h_z(x, y)] = \exp(ikz) \exp(-i\pi\lambda z (v_x^2 + v_y^2))$$

alternatively

$$E' \propto FT \left[ E(x, y) \exp\left[\frac{i\pi}{\lambda z} (x^2 + y^2)\right] \right] \left( \frac{x'}{\lambda z}, \frac{y'}{\lambda z} \right)$$

2D Fourier trans. in  $\frac{x'}{\lambda z}$  and  $\frac{y'}{\lambda z}$

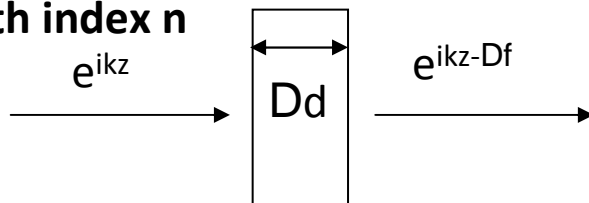
# Propagation imaging



phase shift

$$\Delta\phi = k \Delta d(1 - n)$$

slab with index  $n$



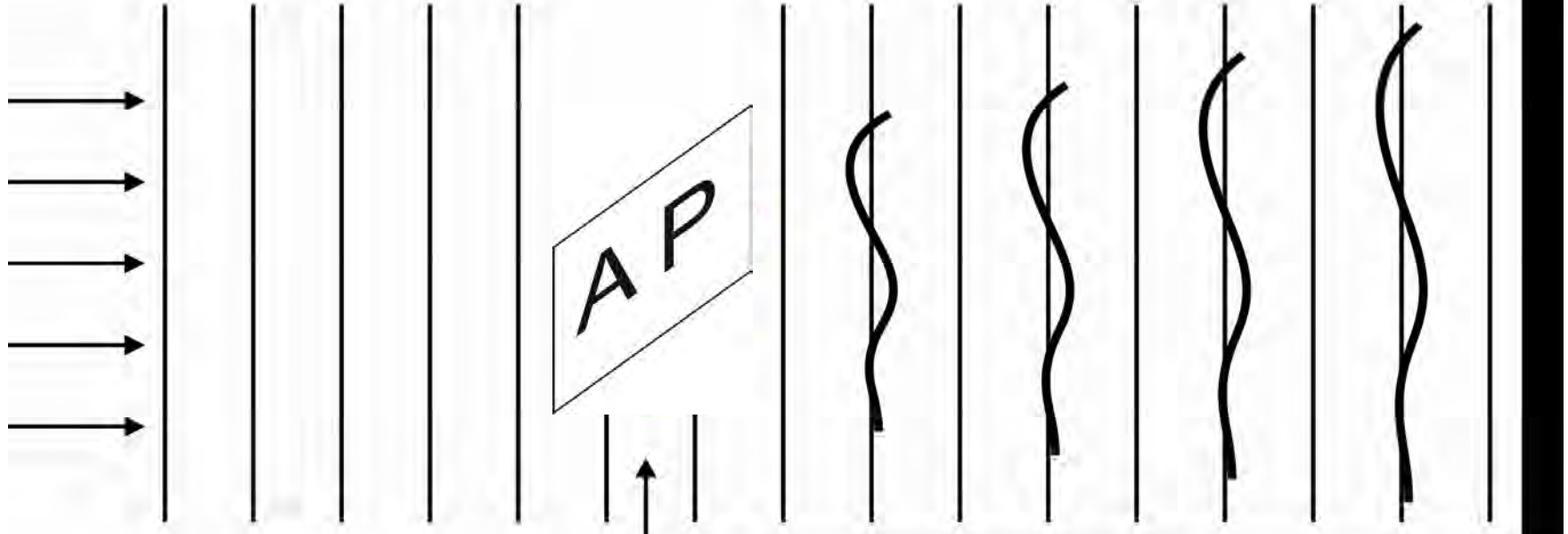
free space propagation

$$\Delta\alpha = \frac{\lambda}{2\pi} \frac{\partial\phi}{\partial x}$$

# Imaging formation: Fresnel diffraction integrals

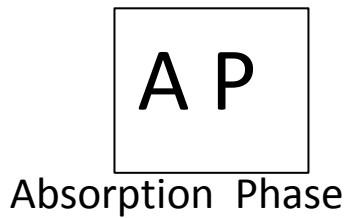
$$\psi(x', y', z) = \frac{1}{i\lambda} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \psi(x, y, 0) \frac{1}{r} \exp(ikr) \frac{1 + \cos(\vec{n} \cdot \vec{r})}{2} dx dy$$

$$\psi(x, y, 0) = \psi_0 \tau(x, y)$$

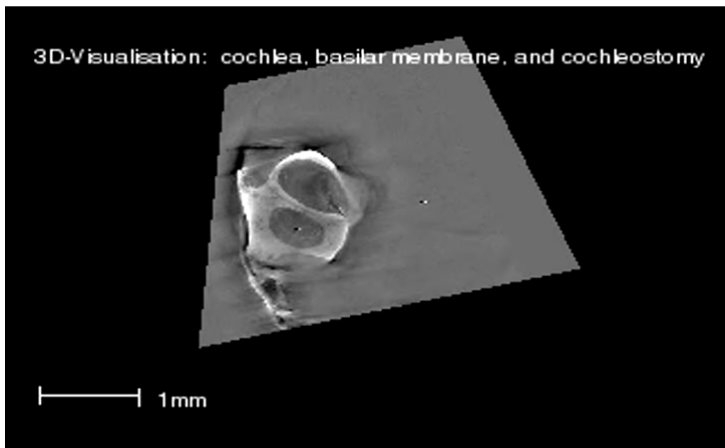


object with complex transmission function  $\tau(x, y)$

$$\psi(z) = FT^{-1} \left[ \exp[iz \sqrt{k^2 - k_x^2 - k_y^2}] FT[\psi(0)] \right]$$



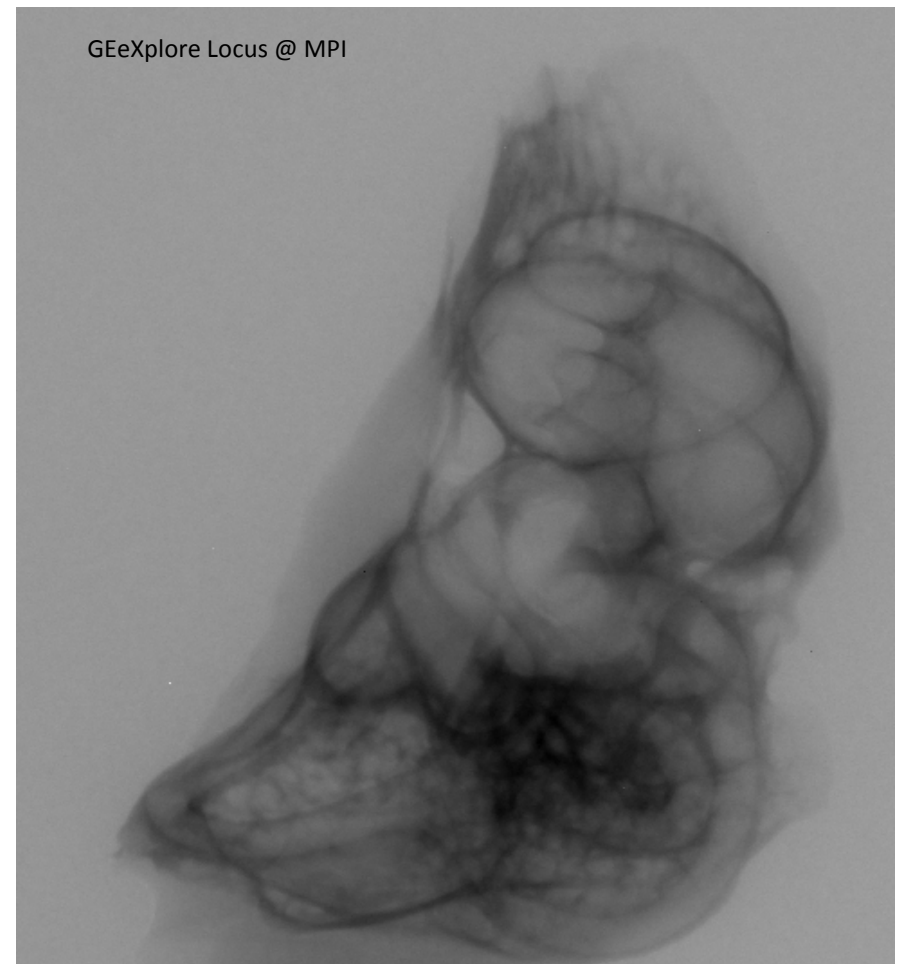
$z := \sqrt{\lambda z}$  only absorption	absorption & phase  „direct“ contrast		 hologram
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**Density in 3D : *resolution AND contrast matter !***

**Cochlea: Phase contrast vs. Absorption contrast**

M.Bartels et al., unpublished





## absorption versus phase contrast

$$n = 1 - \delta + i\beta$$

x-ray index of refraction

$$E_{out}(x, y) = E_{in}(x, y) \tau(x, y)$$

$$\tau(x, y) = \exp\left[-k \int_z^{z+d} dz (\beta(x, y, z) + i\delta(x, y, z))\right]$$

$$\begin{aligned} \delta &= 10^{-5} \dots 10^{-9} \\ \beta &= 10^{-7} \dots 10^{-13} \end{aligned}$$

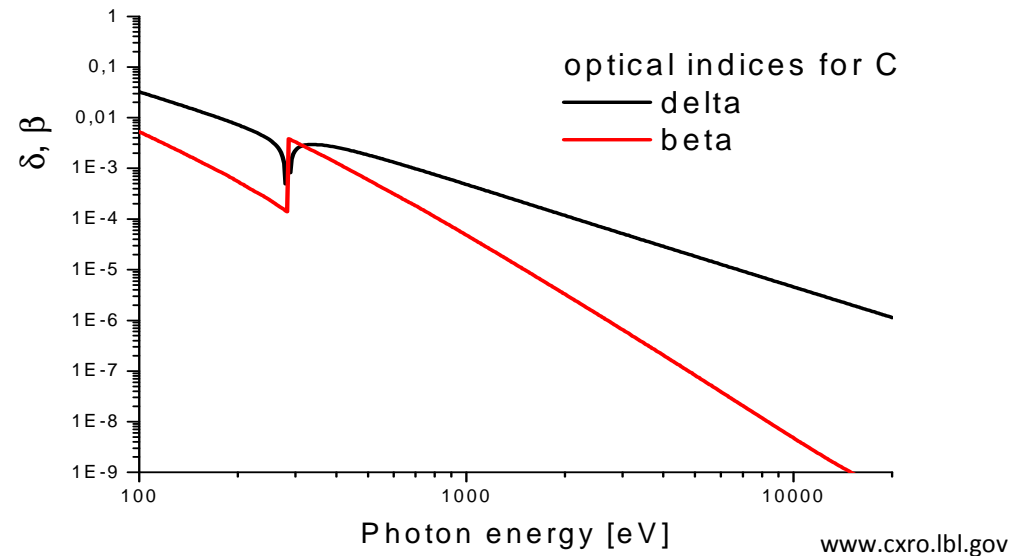
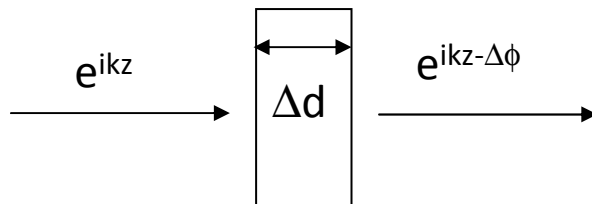
### Absorption length $\mu$

$$\beta = \frac{\mu}{2k}$$

### Phase shift

$$\Delta\phi = k \Delta d (1 - n)$$

slab with index  $n$



$\delta \gg \beta$  for low Z elements and high photon energies  $\rightarrow$  phase contrast

**weakly scattering object (Born approximation)**

$$E_{out}(x, y) = E_{in}(x, y) T(x, y)$$

$$T(x, y) = \text{Exp}\left[-k \int_z^{z+d} dz (\beta(x, y, z) + i\delta(x, y, z))\right]$$

$$\tau(x, y) = \sqrt{\tau_{int}(x, y)} e^{i\varphi(x, y)} \quad \tau_{int} = |\tau(x, y)|^2 \quad \varphi(x, y) = \arg(\tau)$$

$$\tau(x, y) := \text{Exp}\left[ i \delta(x, y) d - \mu(x, y) d / 2 \right]$$

## Contrast transfer function

$$f(x, y) = \exp(ikt) \times \underbrace{\exp[i\phi(x, y) - \mu(x, y)/2]}_{=\tau(x, y)} \quad \text{complex object transmission function}$$

$$\tau(x, y) = \exp[i\phi(x, y) - \mu(x, y)/2] \approx 1 + i\phi(x, y) - \mu(x, y)/2.$$

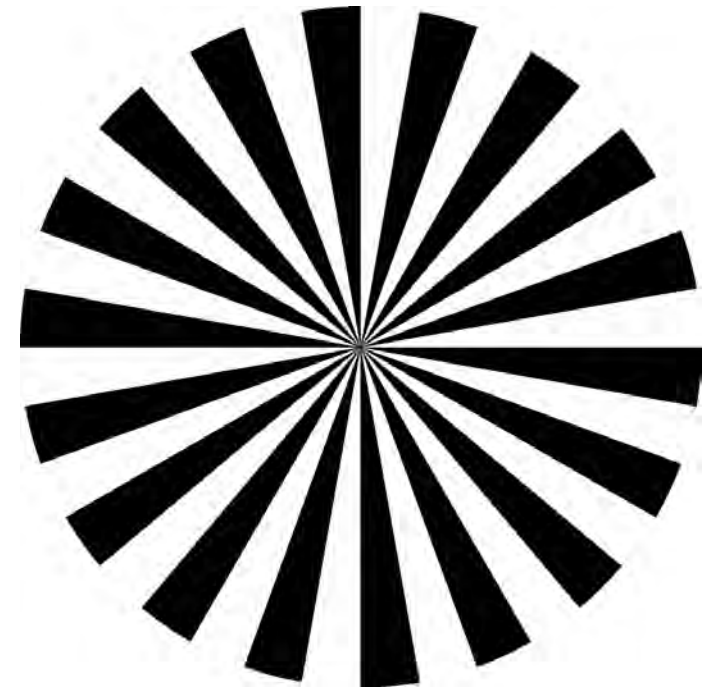
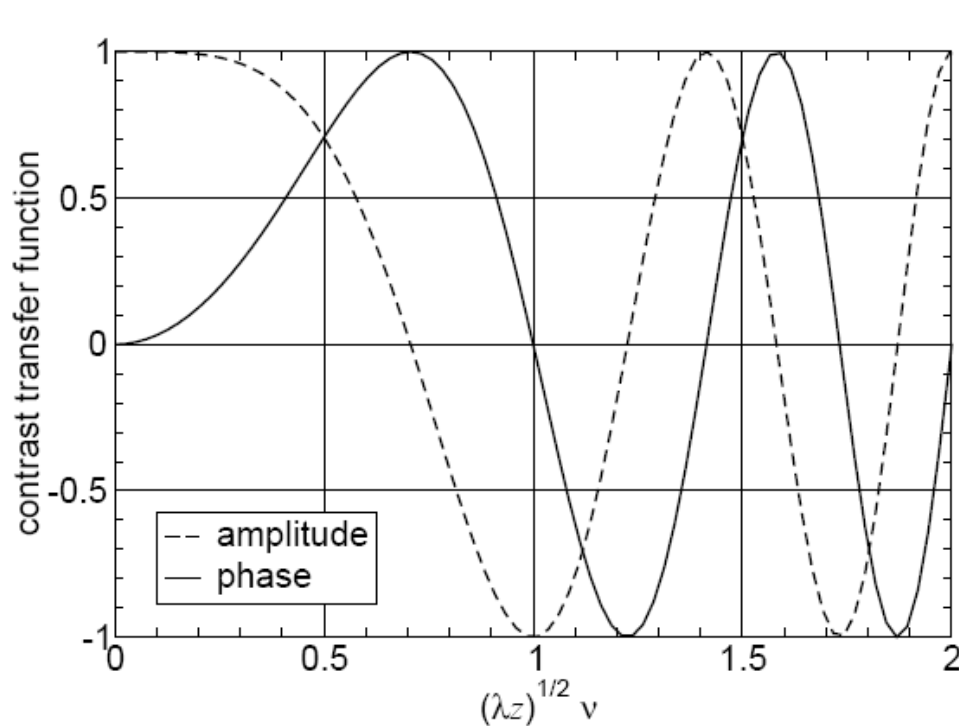
$$\tilde{E}_2(\nu_x, \nu_y) = \tilde{\tau} \tilde{h}_z \simeq (\delta_D(\nu_x, \nu_y) + i\tilde{\phi}(\nu_x, \nu_y) - \tilde{\mu}(\nu_x, \nu_y)/2) \exp(ikz) \exp[-i\pi\lambda z(\nu_x^2 + \nu_y^2)],$$

$$\tilde{I}(\nu_x, \nu_y) \approx \delta_D(\nu_x, \nu_y) + 2\tilde{\phi}(\nu_x, \nu_y) \sin \chi - \tilde{\mu}(\nu_x, \nu_y) \cos \chi$$

**oscillatory CTF**

$$\chi = \pi\lambda z(\nu_x^2 + \nu_y^2) \quad \text{dependence on spatial frequencies}$$

# Contrast transfer function (CTF)



$$f(x, y) = \exp(ikt) \times \underbrace{\exp[i\phi(x, y) - \mu(x, y)/2]}_{=\tau(x, y)}$$

**complex object transmission function**

$$\tilde{I}(\nu_x, \nu_y) \approx \delta_D(\nu_x, \nu_y) + 2\tilde{\phi}(\nu_x, \nu_y) \sin \chi - \tilde{\mu}(\nu_x, \nu_y) \cos \chi$$

**weak object approximation**

$$\chi = \pi \lambda z (\nu_x^2 + \nu_y^2)$$

**-> oscillations as function of spatial frequencies**

Fresnel scaling theorem:  
*an equivalence between parallel and point source illumination*

hologram recorded with the point source corresponds to a hologram recorded with a plane wave at an effective defocusing distance

$$z_{\text{eff}} = \frac{z_1 z_2}{z_1 + z_2}$$

magnified by

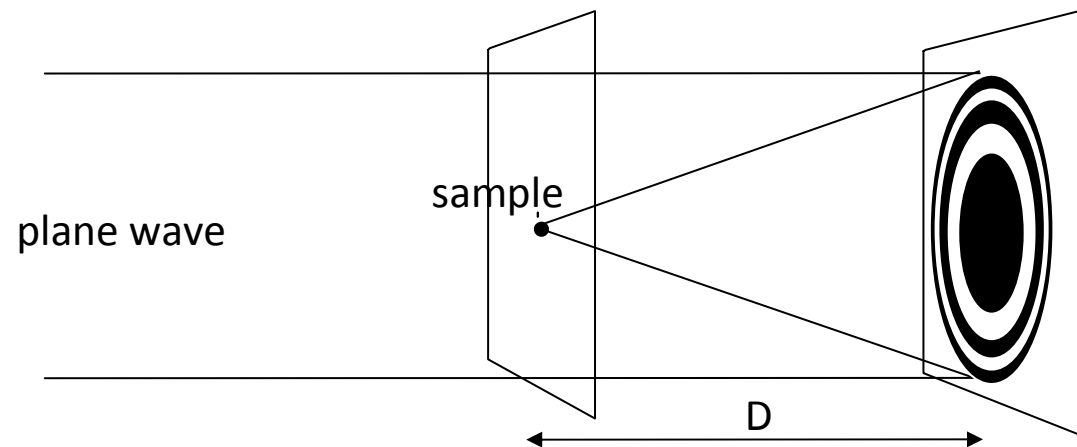
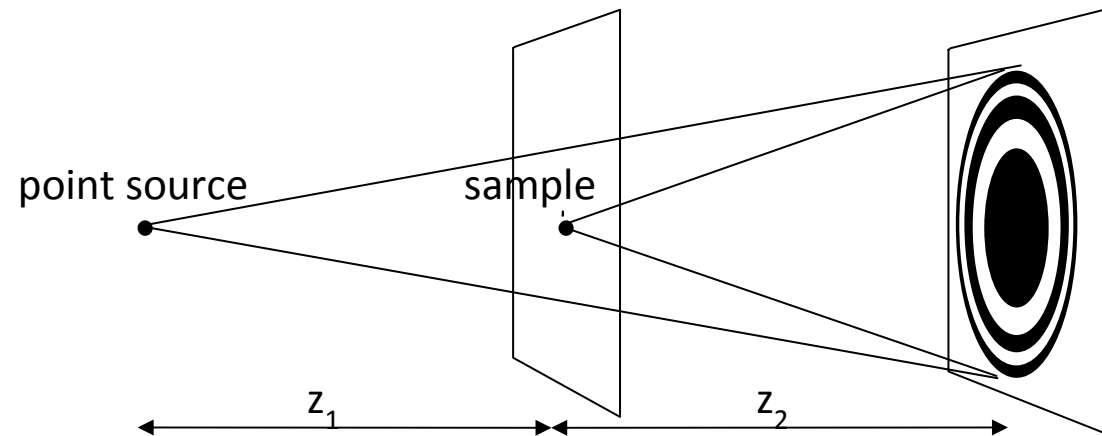
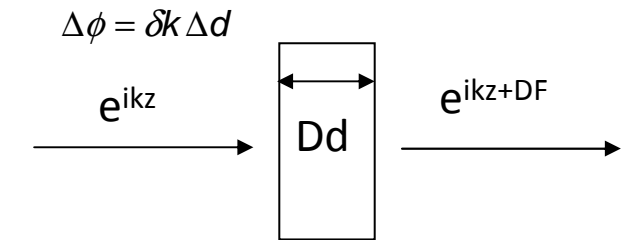
$$M = \frac{z_1 + z_2}{z_1}$$

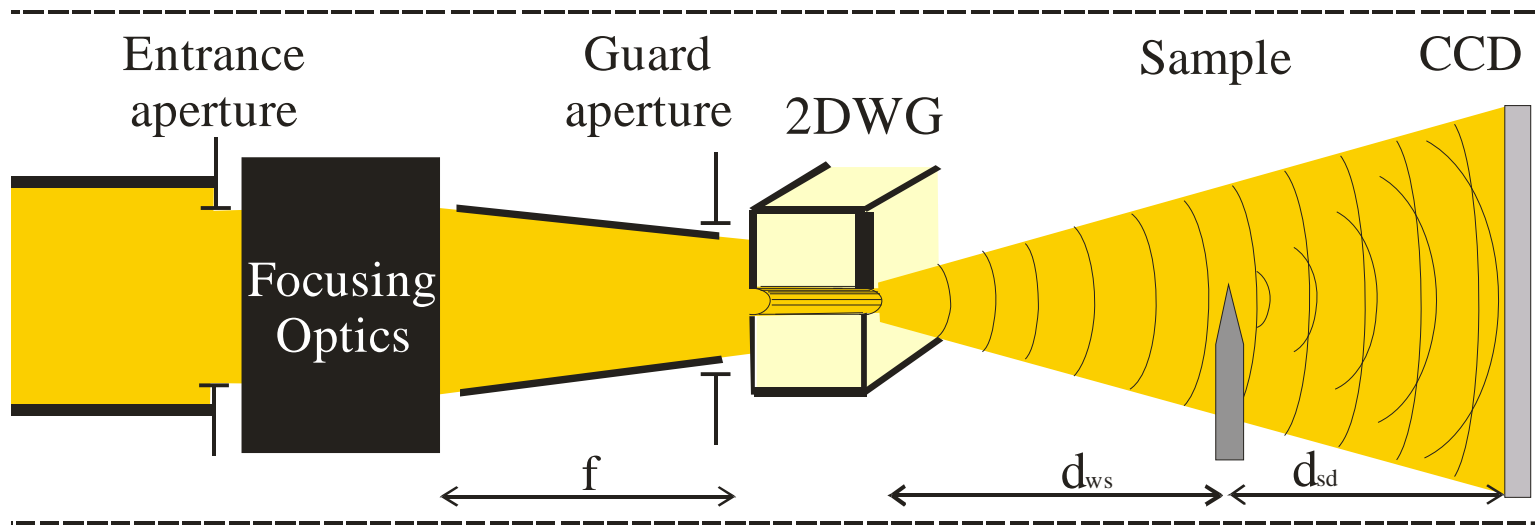
→ magnification allows for a spatial resolution below detector pixel size!

→ plane wave setup used for simulations and reconstruction

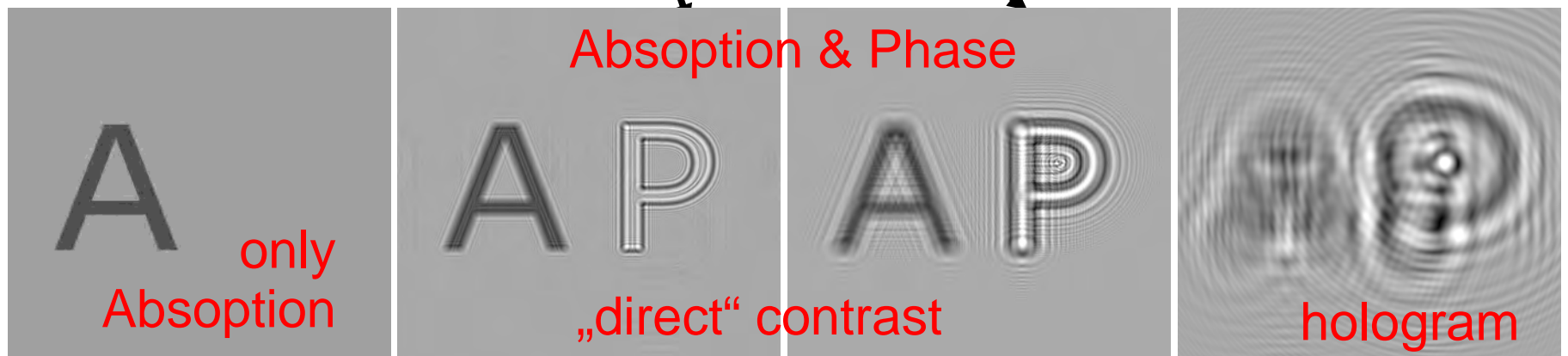
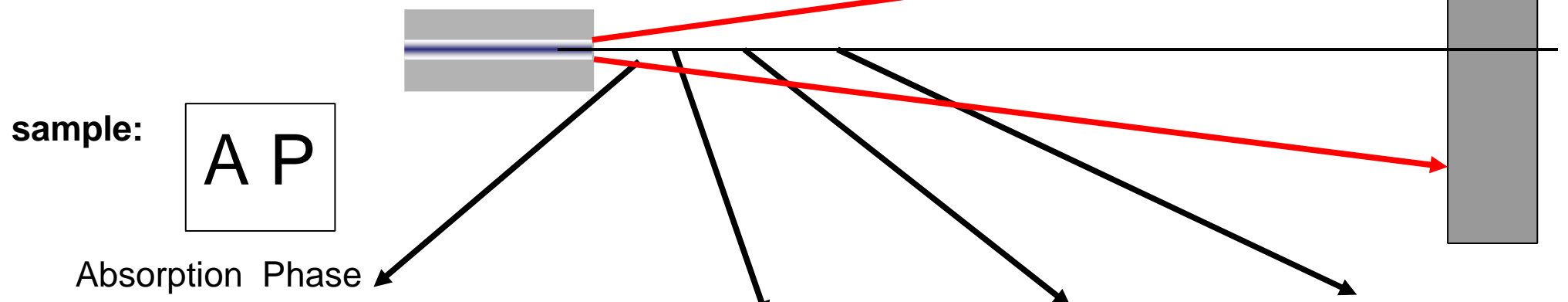
$$n = 1 - \delta + i\beta$$

Phase shift

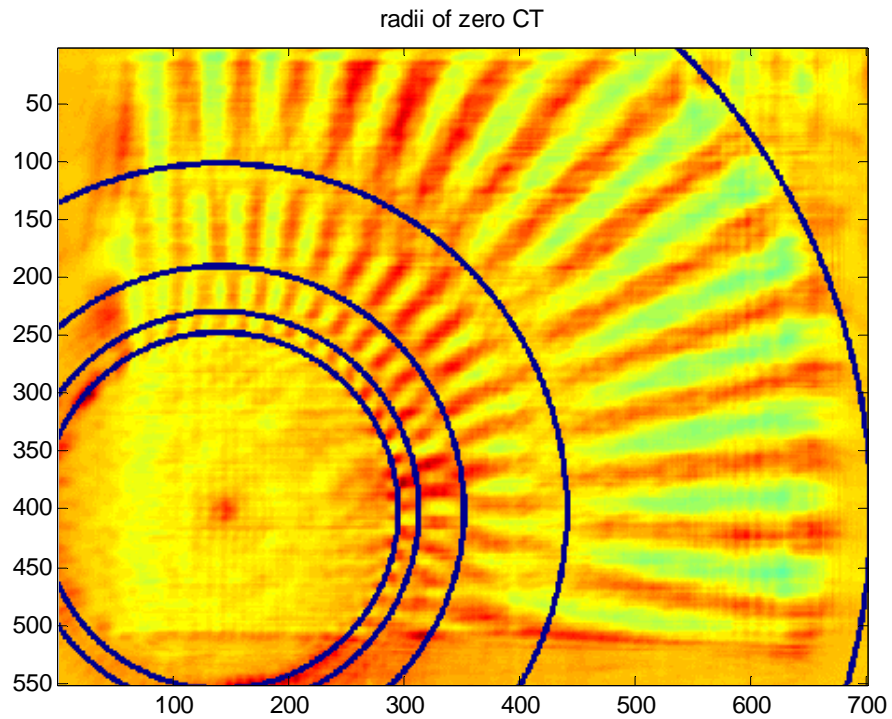




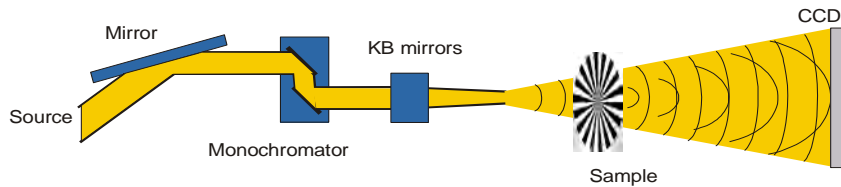
$$z_{eff} = \frac{z_1 z_2}{z_1 + z_2}$$



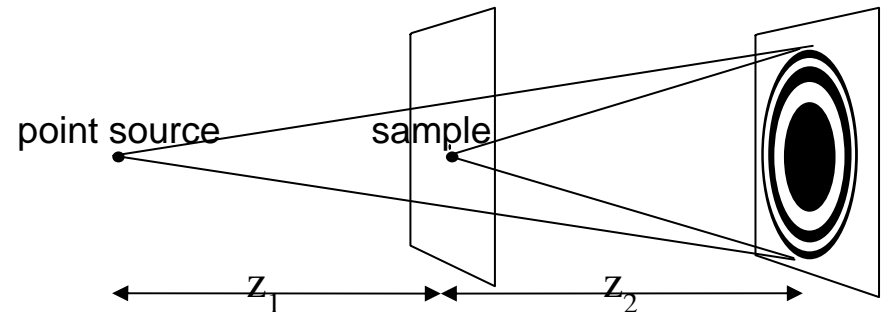
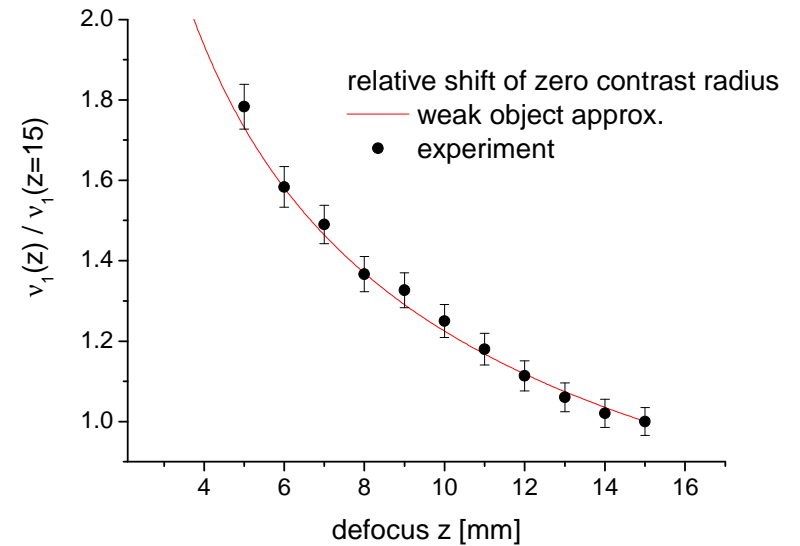
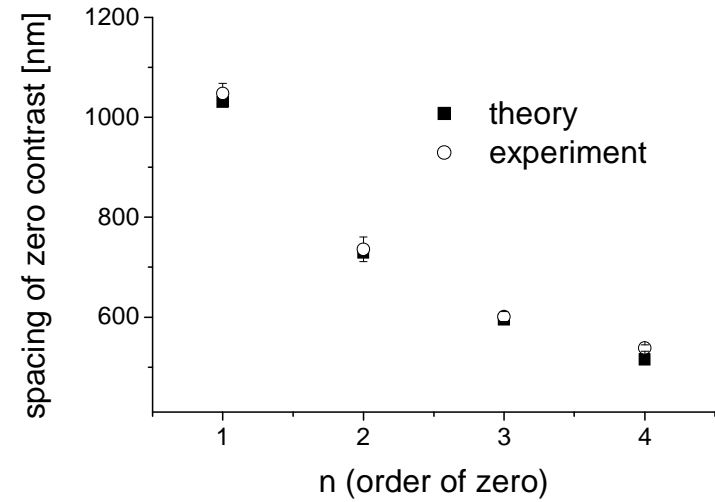
## Zeros of phase contrast transfer (CTF): inversion of contrast



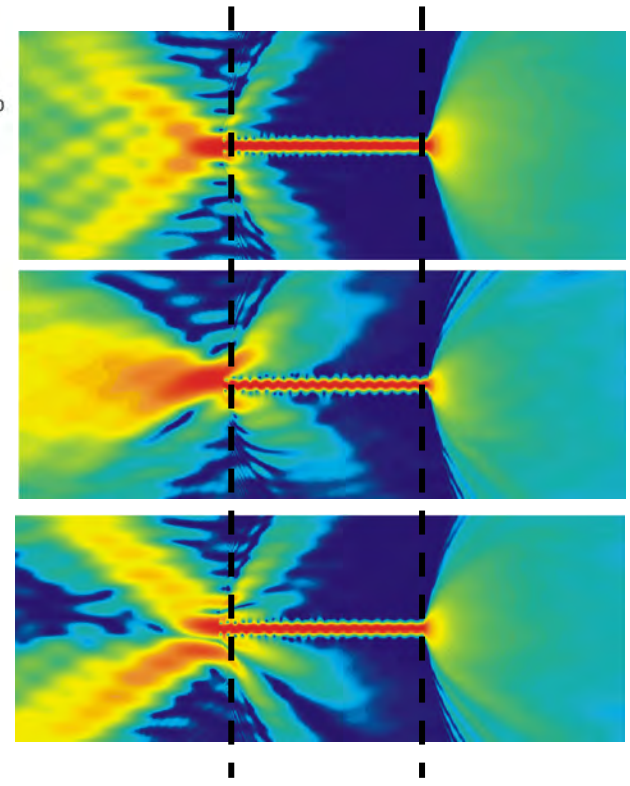
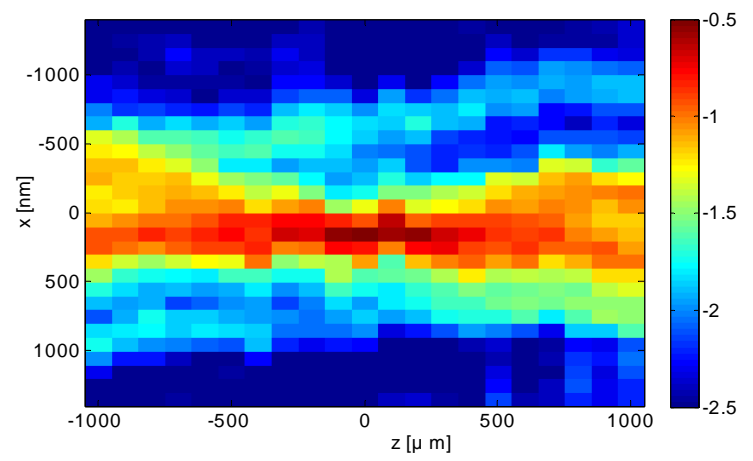
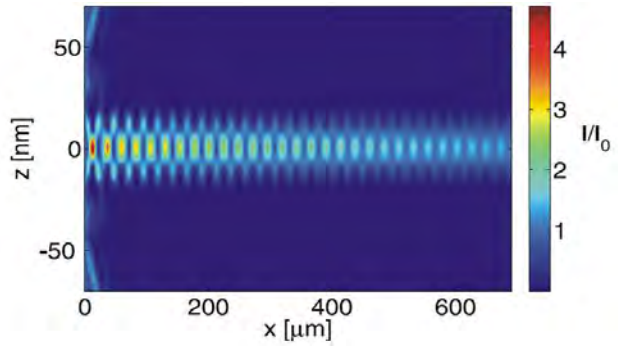
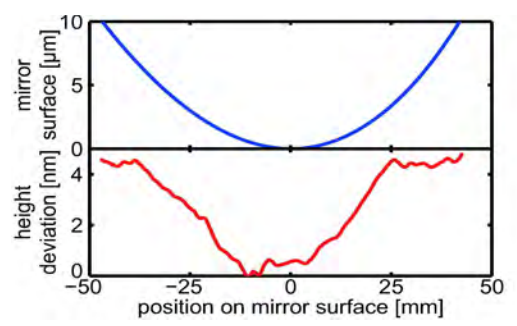
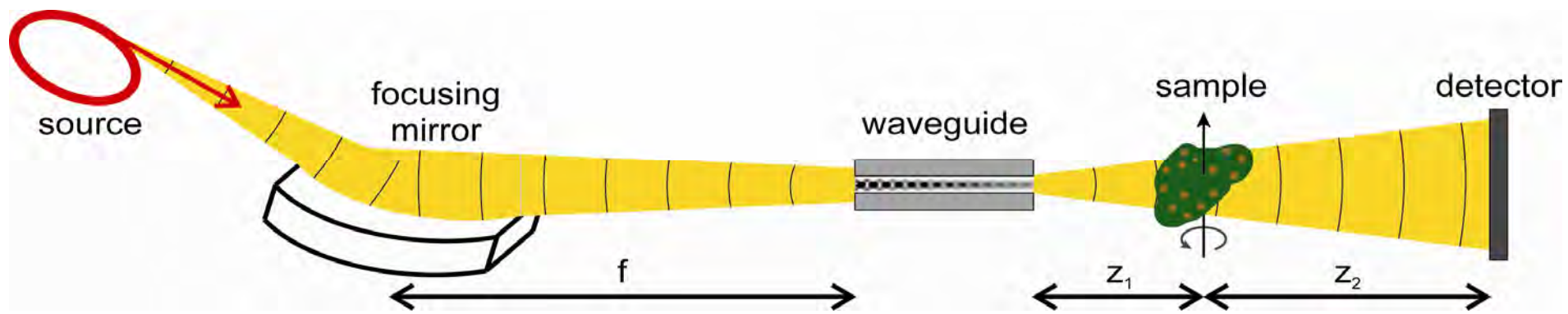
hologram of Siemens star  
calibration pattern X50-30-2m, X-radia,  $h=180\text{nm Au}$   
ID22NI/ESRF, pink undulator beam,  $E=17.5\text{ keV}$   
Beam size:  $146 \times 160\text{ nm}^2$   $10^{11}\text{ cps}$



T. Salditt, K. Giewekemeyer, S. Krüger, R. Tucoulou, P. Cloetens,  
Physical Review B 2009



# Nano-focused coherent x-ray beams for imaging ...





## Improved algorithms for holographic imaging

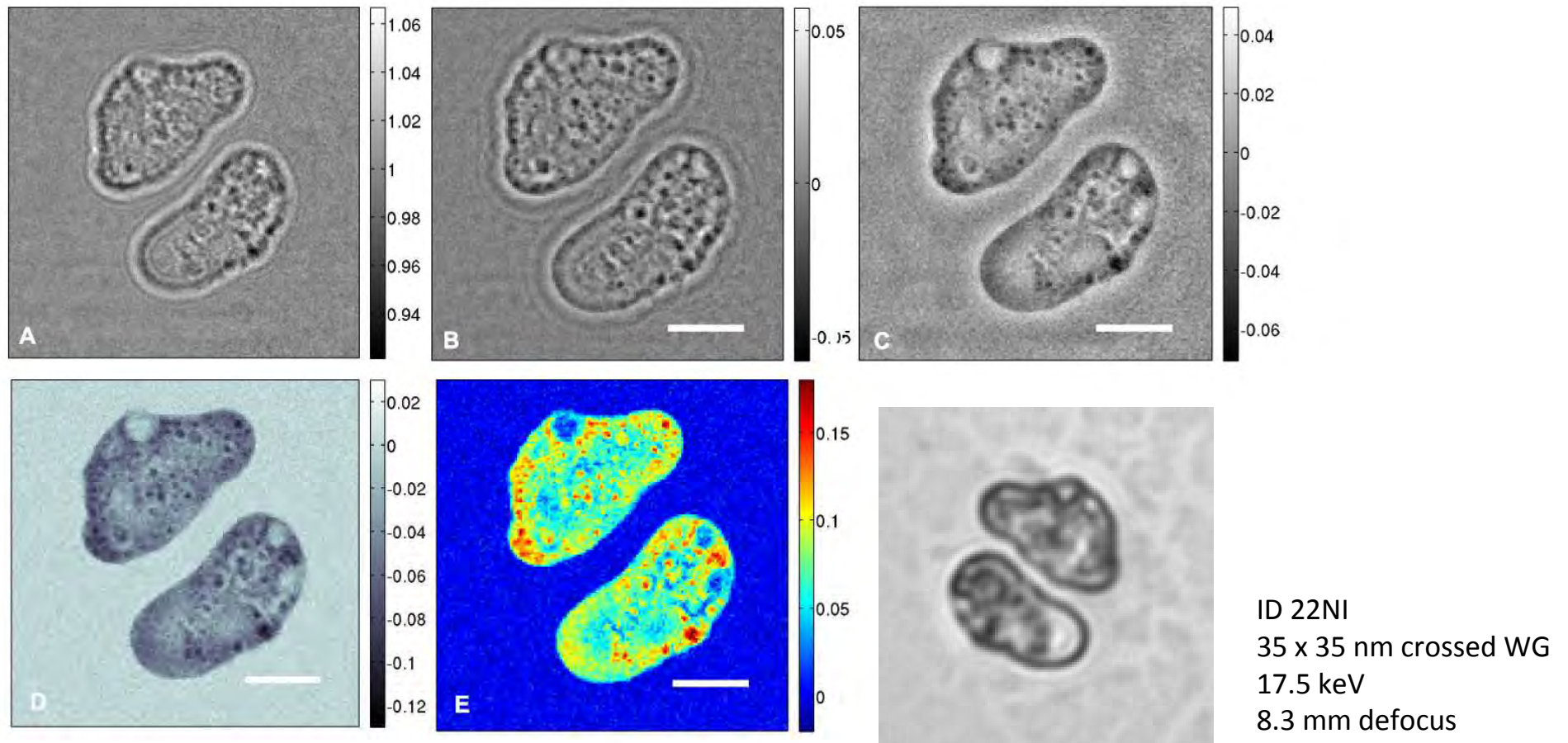


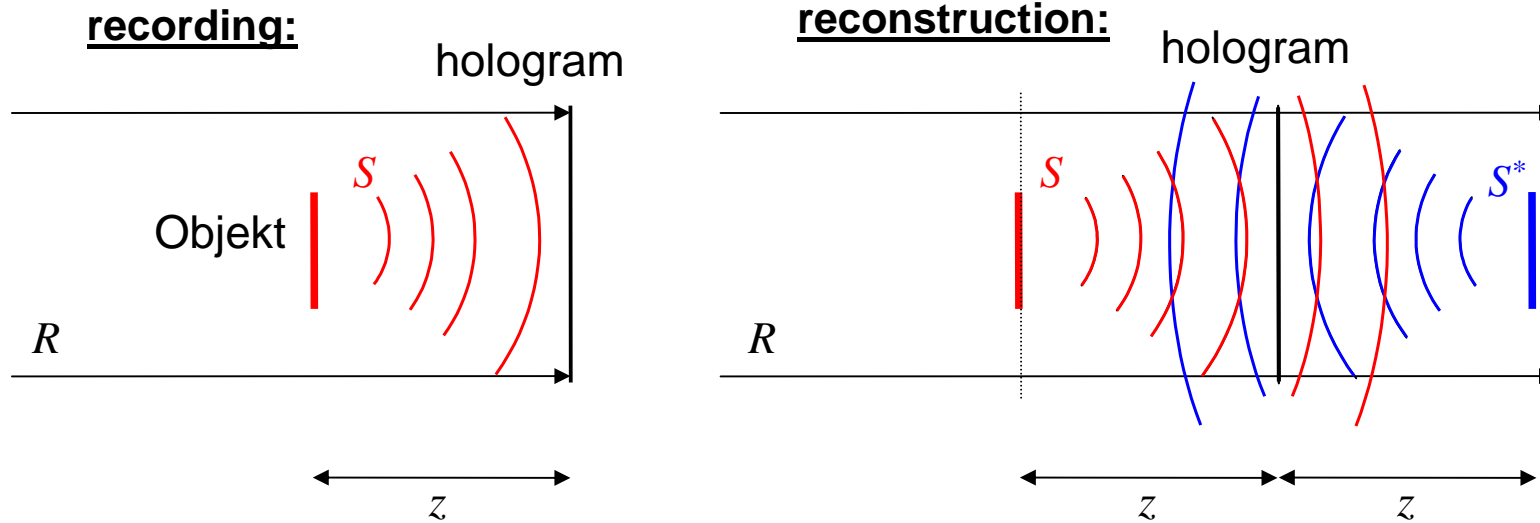
FIG. 2: Waveguide-based holographic diffraction imaging of *Dictyostelium Discoideum* cells. (A) Intensity hologram obtained from a sequence of 501 recorded images with and without the sample in the beam (for further details on experimental details see main text). (B) Single-step holographic reconstruction (phase) from hologram shown in A. (C) Iterative reconstruction of the object phase, obtained after 50 iterations of a standard Gerchberg-Saxton algorithm. (D) Quantitative phase reconstruction obtained with a modified Gerchberg-Saxton scheme, taking into account the noise in the measured hologram and assuming a constant phase outside the cellular area (phase support). (E) Mass density distribution obtained from a rescaling of subfigure D. Scale bars denote 5  $\mu\text{m}$ .

transformation from spherical to parallel beam,  
 $z \rightarrow z_{\text{eff}}$ ,  $M$  magnified coordinate system

$$I(x, y) = |D_{z_{\text{eff}}}[\psi_{\text{in}}\chi(x, y)]|^2 \simeq |\psi_{\text{in}}D_{z_{\text{eff}}}[\chi(x, y)]|^2$$

normalisation of the measured  
 intensity  $I$  by empty beam

$$\begin{aligned} \bar{I}(x, y) &\simeq |\psi_{\text{in}}D_{z_{\text{eff}}}[\chi(x, y)]|^2 / |\psi_{\text{in}}|^2 \\ &= |D_{z_{\text{eff}}}[\chi(x, y)]|^2. \end{aligned}$$



reference beam

$$\chi(x, y) = 1 + \tau(x, y)$$

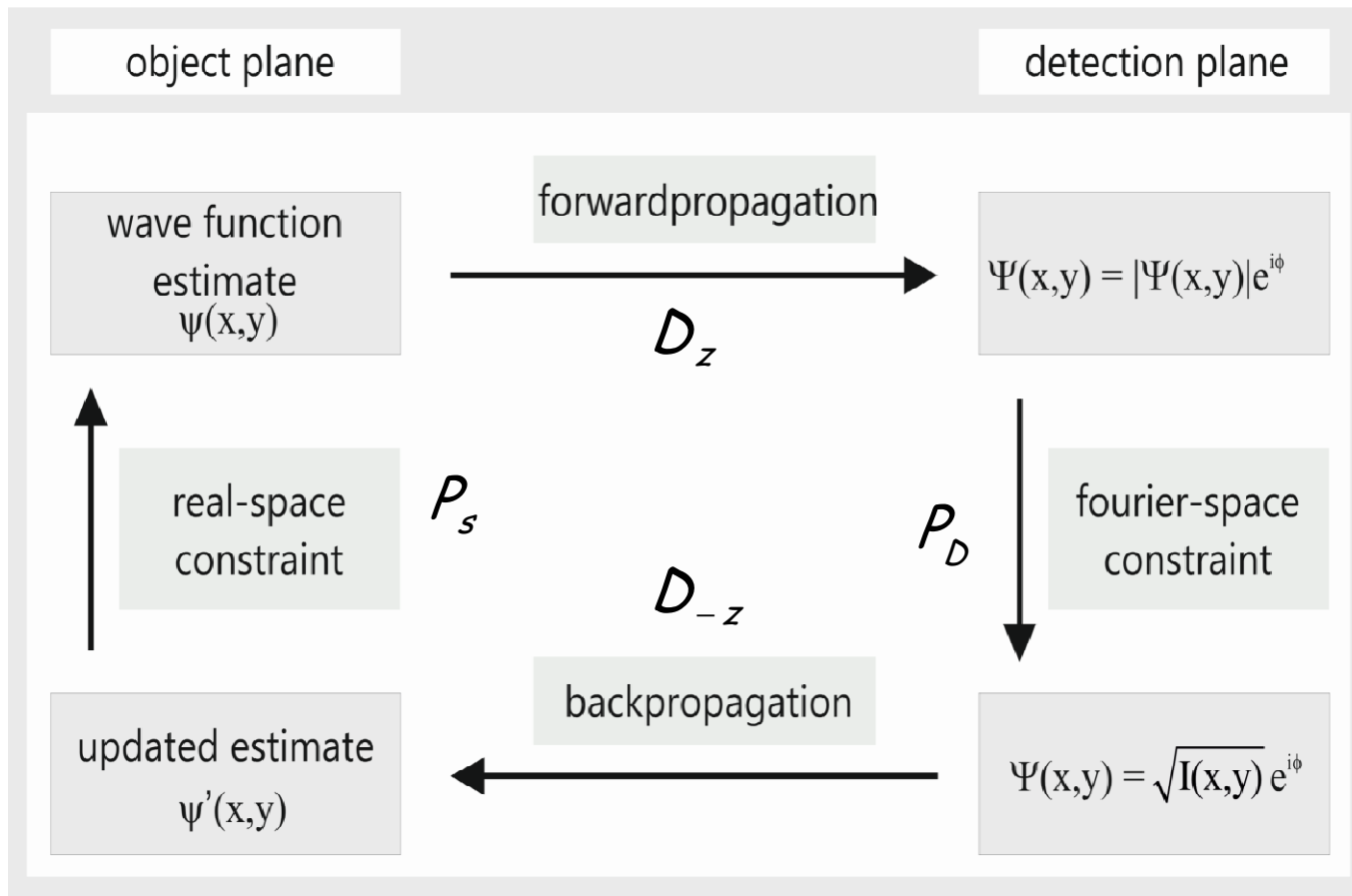
signal

$$\tau \xrightarrow{D_z} \tilde{\tau} = D_z[\tau]$$

conjugated wave „twin image“

$$I(x, y) \propto |1 + \tilde{\tau}|^2 = 1 + \tilde{\tau} + \tilde{\tau}^* + |\tilde{\tau}|^2$$

$$\tau_{\text{recon}} = D_{-z}[1 + \tilde{\tau} + \tilde{\tau}^* + |\tilde{\tau}|^2] = 1 + \tau + \tau^* + D_{-z}[|\tilde{\tau}|^2]$$



Propagator  $D_z = FFT^{-1} \exp[iz\sqrt{k^2 - k_x^2 - k_y^2}] FFT$

$P_s$  : projector in sample plane

$$|\chi_{n+1}(x,y)| = |\chi_n(x,y)| - \beta(|\chi_n(x,y)| - 1)$$

$$\arg(\chi_{n+1}(x,y)) = \begin{cases} \arg(\chi_n) - \gamma \arg(\chi_n') & \forall (x,y) \notin S \\ \min(\arg(\chi_n'), 0) & \forall (x,y) \in S \end{cases}$$

S support (from holographic reconstruction)

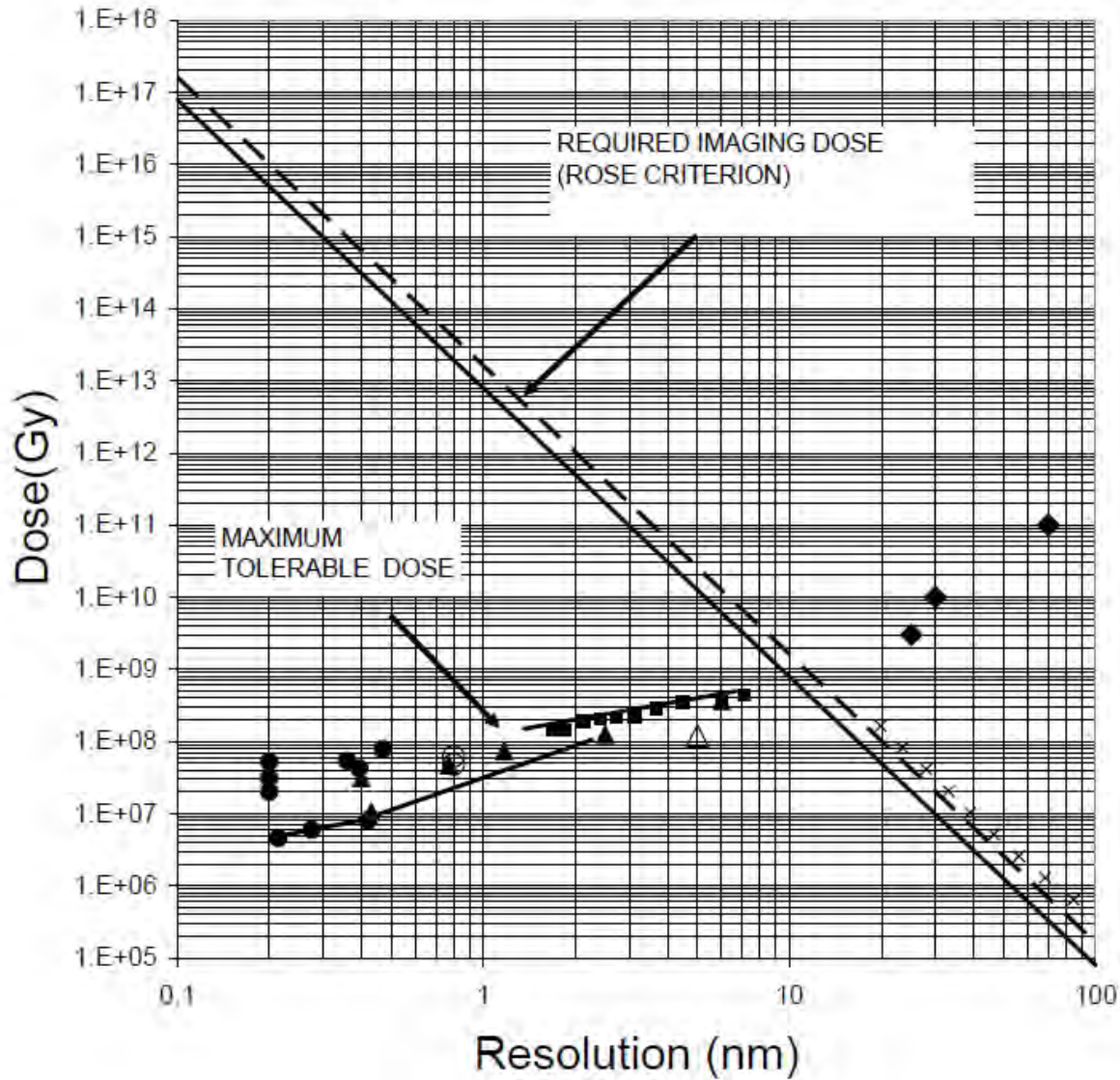
$P_D$  : 'projector' in detector plane

$$|\tilde{\chi}_n'(x,y)|^2 = (1 - \frac{D}{d}) \bar{I}(x,y) + \frac{D}{d} |\tilde{\chi}_n(x,y)|^2$$

$$d^2 = \frac{1}{N} \sum_{x,y} (|\tilde{\chi}_n(x,y)|^2 - \bar{I}(x,y))^2$$

$$D = \sqrt{2 / \langle I_0 \rangle} \text{ noise}$$

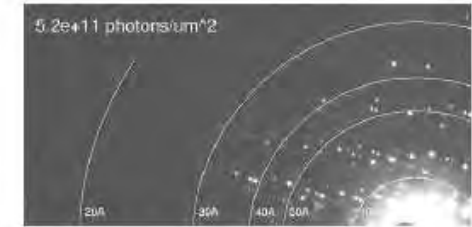
# Non destructive imaging ?



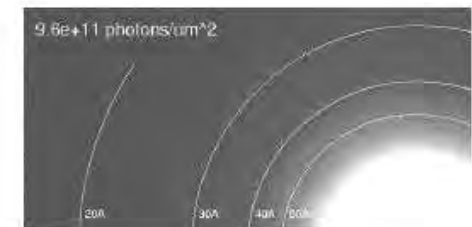
Howells et al., 2009



(a)



(b)



(c)

FIG. 3: Three spot patterns from the series described in the text recorded from the ribosome crystal. Many of

$$D = \frac{\mu P h\nu}{\epsilon \sigma_s} = \frac{\mu P h\nu}{\epsilon} \frac{1}{r_e^2 \lambda^2 |\rho|^2 d^4},$$

$$N_0 = \frac{P}{r_e^2 \lambda^2 |\rho|^2 d^4}.$$

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Markus Osterhoff     *numerical optics, focusing, mirror design*  
Marius Priebe     *cellular nanodiffraction, microliquid jet*  
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Tanja Ducic, Christian Oldendrowitz     *imaging of neural cells and tissues*  
Don-Du Mai, Jörg Hallmann, Tobias Reusch     *FLASH experiments, lipid membranes*



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